

# DYNAMICAL NUMBER OF BASE-POINTS OF NON BASE-WANDERING JONQUIÈRES TWISTS

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ABSTRACT. We give some properties of the dynamical number of base-points of birational self-maps of the complex projective plane.

In particular we give a formula to determine the dynamical number of base-points of non base-wandering Jonquières twists.

## 1. INTRODUCTION

The *plane Cremona group*  $\text{Bir}(\mathbb{P}_{\mathbb{C}}^2)$  is the group of birational maps of the complex projective plane  $\mathbb{P}_{\mathbb{C}}^2$ . It is isomorphic to the group of  $\mathbb{C}$ -algebra automorphisms of  $\mathbb{C}(X, Y)$ , the function field of  $\mathbb{P}_{\mathbb{C}}^2$ . Using a system of homogeneous coordinates  $(x : y : z)$  a birational map  $f \in \text{Bir}(\mathbb{P}_{\mathbb{C}}^2)$  can be written as

$$(x : y : z) \dashrightarrow (P_0(x, y, z) : P_1(x, y, z) : P_2(x, y, z))$$

where  $P_0, P_1$  and  $P_2$  are homogeneous polynomials of the same degree without common factor. This degree does not depend on the system of homogeneous coordinates. We call it the *degree* of  $f$  and denote it by  $\deg(f)$ . Geometrically it is the degree of the pull-back by  $f$  of a general projective line. Birational maps of degree 1 are homographies and form the group  $\text{Aut}(\mathbb{P}_{\mathbb{C}}^2) = \text{PGL}(3, \mathbb{C})$  of automorphisms of the projective plane.

### ◇ Four types of elements.

The elements  $f \in \text{Bir}(\mathbb{P}_{\mathbb{C}}^2)$  can be classified into exactly one of the four following types according to the growth of the sequence  $(\deg(f^k))_{k \in \mathbb{N}}$  (see [DF01, BD15]):

- (1) The sequence  $(\deg(f^k))_{k \in \mathbb{N}}$  is bounded,  $f$  is either of finite order or conjugate to an automorphism of  $\mathbb{P}_{\mathbb{C}}^2$ ; we say that  $f$  is an *elliptic element*.
- (2) The sequence  $(\deg(f^k))_{k \in \mathbb{N}}$  grows linearly,  $f$  preserves a unique pencil of rational curves and  $f$  is not conjugate to an automorphism of any rational projective surface; we call  $f$  a *Jonquières twist*.
- (3) The sequence  $(\deg(f^k))_{k \in \mathbb{N}}$  grows quadratically,  $f$  is conjugate to an automorphism of a rational projective surface preserving a unique elliptic fibration; we call  $f$  a *Halphen twist*.
- (4) The sequence  $(\deg(f^k))_{k \in \mathbb{N}}$  grows exponentially and we say that  $f$  is *hyperbolic*.

◇ **The Jonquière group.**

Let us fix an affine chart of  $\mathbb{P}_{\mathbb{C}}^2$  with coordinates  $(x, y)$ . The *Jonquière group*  $J$  is the subgroup of the Cremona group of all maps of the form

$$(x, y) \dashrightarrow \left( \frac{A(y)x + B(y)}{C(y)x + D(y)}, \frac{ay + b}{cy + d} \right) \quad (1.1)$$

where  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{PGL}(2, \mathbb{C})$  and  $\begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \mathrm{PGL}(2, \mathbb{C}(y))$ . The group  $J$  is the group of all birational maps of  $\mathbb{P}_{\mathbb{C}}^1 \times \mathbb{P}_{\mathbb{C}}^1$  permuting the fibers of the projection onto the second factor; it is isomorphic to the semi-direct product  $\mathrm{PGL}(2, \mathbb{C}(y)) \rtimes \mathrm{PGL}(2, \mathbb{C})$ .

We can check with (1.1) that if  $f$  belongs to  $J$ , then  $(\deg(f^k))_{k \in \mathbb{N}}$  grows at most linearly; elements of  $J$  are either elliptic or Jonquière twists. Let us denote by  $\mathcal{J}$  the set of Jonquière twist:

$$\mathcal{J} = \{f \in \mathrm{Bir}(\mathbb{P}_{\mathbb{C}}^2) \mid f \text{ Jonquière twist}\}.$$

A Jonquière twist is called a *base-wandering Jonquière twist* if its action on the basis of the rational fibration has infinite order. Let us denote by  $J_0$  the normal subgroup of  $J$  that preserves fiberwise the rational fibration, that is the subgroup of those maps of the form

$$(x, y) \dashrightarrow \left( \frac{A(y)x + B(y)}{C(y)x + D(y)}, y \right).$$

The group  $J_0$  is isomorphic to  $\mathrm{PGL}(2, \mathbb{C}(y))$ . The group  $J_0$  has three maximal (for the inclusion) uncountable abelian subgroups

$$J_a = \{(x + a(y), y) \mid a \in \mathbb{C}(y)\}, \quad J_m = \{(b(y)x, y) \mid b \in \mathbb{C}(y)^*\}$$

and

$$J_F = \left\{ (x, y), \left( \frac{c(y)x + F(y)}{x + c(y)}, y \right) \mid c \in \mathbb{C}[y] \right\}$$

where  $F$  denotes an element of  $\mathbb{C}[y]$  that is not a square ([D06]).

Let us associate to  $f = \left( \frac{A(y)x + B(y)}{C(y)x + D(y)}, y \right) \in J_0$  the matrix  $M_f = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$ . The *Baum Bott index* of  $f$  is  $\mathrm{BB}(f) = \frac{(\mathrm{Tr}(M_f))^2}{\det(M_f)}$  (by analogy with the Baum Bott index of a foliation) which is well defined in  $\mathrm{PGL}$  and is invariant by conjugation. This invariant  $\mathrm{BB}$  indicates the degree growth:

**Proposition 1.1** ([CD12]). *Let  $f$  be a Jonquière twist that preserves fiberwise the rational fibration. The rational function  $\mathrm{BB}(f)$  is constant if and only if  $f$  is an elliptic element.*

A direct consequence is the following:

**Corollary 1.2.** *Let  $f$  be a non-base wandering Jonquière twist; the rational function  $\mathrm{BB}(f)$  is constant if and only if  $f$  is an elliptic element.*

Every  $f \in \text{Bir}(\mathbb{P}_{\mathbb{C}}^2)$  admits a resolution

$$\begin{array}{ccc} & S & \\ \pi_1 \swarrow & & \searrow \pi_2 \\ \mathbb{P}_{\mathbb{C}}^2 & \dashrightarrow f \dashrightarrow & \mathbb{P}_{\mathbb{C}}^2 \end{array}$$

where  $\pi_1, \pi_2$  are sequences of blow-ups. The resolution is *minimal* if and only if no  $(-1)$ -curve of  $S$  are contracted by both  $\pi_1$  and  $\pi_2$ . Assume that the resolution is minimal; the *base-points* of  $f$  are the points blown-up by  $\pi_1$ , which can be points of  $S$  or infinitely near points. If  $f$  belongs to  $\mathbf{J}$ , then  $f$  has one base-point  $p_0$  of multiplicity  $d - 1$  and  $2d - 2$  base-points  $p_1, p_2, \dots, p_{2d-2}$  of multiplicity 1. Similarly the map  $f^{-1}$  has one base-point  $q_0$  of multiplicity  $d - 1$  and  $2d - 2$  base-points  $q_1, q_2, \dots, q_{2d-2}$  of multiplicity 1. Let us denote by  $f_{\#}$  the action of  $f$  on the Picard-Manin space of  $\mathbb{P}_{\mathbb{C}}^2$ , by  $\mathbf{e}_m \in \text{NS}(S)$  the Néron-Severi class of the total transform of  $m$  under  $\pi_j$  (for  $1 \leq j \leq 2$ ), and by  $\ell$  the class of a line in  $\mathbb{P}_{\mathbb{C}}^2$ . The action of  $f$  on  $\ell$  and the classes  $(\mathbf{e}_{p_j})_{0 \leq j \leq 2d-2}$  is given by:

$$\begin{cases} f_{\#}(\ell) = d\ell - (d-1)\mathbf{e}_{q_0} - \sum_{i=1}^{2d-2} \mathbf{e}_{q_i} \\ f_{\#}(\mathbf{e}_{p_0}) = (d-1)\ell - (d-2)\mathbf{e}_{q_0} - \sum_{i=1}^{2d-2} \mathbf{e}_{q_i} \\ f_{\#}(\mathbf{e}_{p_i}) = \ell - \mathbf{e}_{q_0} - \mathbf{e}_{q_i} \quad \forall 1 \leq i \leq 2d-2 \end{cases}$$

◇ **Dynamical degree.**

Given a birational self-map  $f: S \dashrightarrow S$  of a complex projective surface, its dynamical degree  $\lambda(f)$  is a positive real number that measures the complexity of the dynamics of  $f$ . Indeed  $\log(\lambda(f))$  provides an upper bound for the topological entropy of  $f$  and is equal to it under natural assumptions (see [BD05, DS05]). The dynamical degree is invariant under conjugacy; as shown in [BC16] precise knowledge on  $\lambda(f)$  provides useful information on the conjugacy class of  $f$ . By definition a *Pisot number* is an algebraic integer  $\lambda \in ]1, +\infty[$  whose other Galois conjugates lie in the open unit disk; Pisot numbers include integers  $d \geq 2$  as well as reciprocal quadratic integers  $\lambda > 1$ . A *Salem number* is an algebraic integer  $\lambda \in ]1, +\infty[$  whose other Galois conjugates are in the closed unit disk, with at least one on the boundary. Diller and Favre proved the following statement:

**Theorem 1.3** ([DF01]). *Let  $f$  be a birational self-map of a complex projective surface. If  $\lambda(f)$  is different from 1, then  $\lambda(f)$  is a Pisot number or a Salem number.*

One of the goal of [BC16] is the study of the structure of the set of all dynamical degrees  $\lambda(f)$  where  $f$  runs over the group of birational maps  $\text{Bir}(S)$  and  $S$  over the collection of all projective surfaces. In particular they get:

**Theorem 1.4** ([BC16]). *Let  $\Lambda$  be the set of all dynamical degrees of birational maps of complex projective surfaces. Then*

- ◇  $\Lambda$  is a well ordered subset of  $\mathbb{R}_+$ ;
- ◇ if  $\lambda$  is an element of  $\Lambda$ , there is a real number  $\varepsilon > 0$  such that  $] \lambda, \lambda + \varepsilon ]$  does not intersect  $\Lambda$ ;
- ◇ there is a non-empty interval  $] \lambda_G, \lambda_G + \varepsilon ]$ , with  $\varepsilon > 0$ , on the right of the golden mean that contains infinitely many Pisot and Salem numbers, but does not contain any dynamical degree.

◇ **Dynamical number of base-points** ([BD15]).

If  $S$  is a projective smooth surface, every  $f \in \text{Bir}(S)$  admits a resolution

$$\begin{array}{ccc} & Z & \\ \pi_1 \swarrow & & \searrow \pi_2 \\ S & \overset{f}{\dashrightarrow} & S \end{array}$$

where  $\pi_1, \pi_2$  are sequences of blow-ups. The resolution is *minimal* if and only if no  $(-1)$ -curve of  $Z$  are contracted by both  $\pi_1$  and  $\pi_2$ . Assume that the resolution is minimal; the *base-points* of  $f$  are the points blown-up by  $\pi_1$ , which can be points of  $S$  or infinitely near points. We denote by  $\mathfrak{b}(f)$  the number of such points, which is also equal to the difference of the ranks of  $\text{Pic}(Z)$  and  $\text{Pic}(S)$ , and thus equal to  $\mathfrak{b}(f^{-1})$ .

Let us define the *dynamical number of base-points* of  $f$  by

$$\mu(f) = \lim_{k \rightarrow +\infty} \frac{\mathfrak{b}(f^k)}{k}.$$

Since  $\mathfrak{b}(f \circ \varphi) \leq \mathfrak{b}(f) + \mathfrak{b}(\varphi)$  for any  $f, \varphi \in \text{Bir}(S)$  we see that  $\mu(f)$  is a non-negative real number. Moreover,  $\mathfrak{b}(f^{-1})$  and  $\mathfrak{b}(f)$  being equal we get  $\mu(f^k) = |k\mu(f)|$  for any  $k \in \mathbb{Z}$ . Furthermore, the dynamical number of base-points is an invariant of conjugation: if  $\psi: S \dashrightarrow Z$  is a birational map between smooth projective surfaces and if  $f$  belongs to  $\text{Bir}(S)$ , then  $\mu(f) = \mu(\psi \circ f \circ \psi^{-1})$ . In particular if  $f$  is conjugate to an automorphism of a smooth projective surface, then  $\mu(f) = 0$ . The converse holds, *i.e.*  $f \in \text{Bir}(S)$  is conjugate to an automorphism of a smooth projective surface if and only if  $\mu(f) = 0$  ([BD15, Proposition 3.5]). This follows from the geometric interpretation of  $\mu$  we will recall now. If  $f \in \text{Bir}(S)$  is a birational map, a (possibly infinitely near) base-point  $p$  of  $f$  is a *persistent base-point* of  $f$  if there exists an integer  $N$  such that  $p$  is a base-point of  $f^k$  for any  $k \geq N$  but is not a base-point of  $f^{-k}$  for any  $k \geq N$ . We put an equivalence relation on the set of points that belongs to  $S$  or are

infinitely near: take a minimal resolution of  $f$

$$\begin{array}{ccc} & Z & \\ \pi_1 \swarrow & & \searrow \pi_2 \\ S & \text{---} \text{---} \text{---} \xrightarrow{f} & S \end{array}$$

where  $\pi_1, \pi_2$  are sequences of blow-ups; the point  $p$  is *equivalent* to  $q$  if there exists an integer  $k$  such that  $(\pi_2 \circ \pi_1^{-1})^k(p) = q$ . Denote by  $\mathfrak{v}$  the number of equivalence classes of persistent base-points of  $f$ ; then the set

$$\{\mathfrak{b}(f^k) - \mathfrak{v}k \mid k \geq 0\} \subset \mathbb{Z}$$

is bounded. In particular,  $\mu(f)$  is an integer, equal to  $\mathfrak{v}$  (see [BD15, Proposition 3.4]). This gives a bound for  $\mu(f)$ ; indeed, if  $f \in \text{Bir}(\mathbb{P}_{\mathbb{C}}^2)$  is a map whose base-points have multiplicities  $m_1 \geq m_2 \geq \dots \geq m_r$  then (see for instance [ACn02, §2.5] and [ACn02, Corollary 2.6.7])

$$\begin{cases} \sum_{i=1}^r m_i = 3(\deg(f) - 1) \\ \sum_{i=1}^r m_i^2 = \deg(f)^2 - 1 \\ m_1 + m_2 + m_3 \geq \deg(f) + 1 \end{cases}$$

in particular,  $r \leq 2 \deg(f) - 1$  so  $\mathfrak{v} \leq 2 \deg(f) - 1$  and  $\mu(f) \leq 2 \deg(f) - 1$ .

If  $f \in \text{Bir}(\mathbb{P}_{\mathbb{C}}^2)$  is a Jonquières twist, then there exists an integer  $a \in \mathbb{N}$  such that

$$\lim_{k \rightarrow +\infty} \frac{\deg(f^k)}{k} = a^2 \frac{\mu(f)}{2};$$

moreover,  $a$  is the degree of the curves of the unique pencil of rational curves invariant by  $f$  (see [BD15, Proposition 4.5]). In particular,  $a = 1$  if and only if  $f$  preserves a pencil of lines. On the one hand  $\{\mu(f) \mid f \in \text{Bir}(\mathbb{P}_{\mathbb{C}}^2)\} \subseteq \mathbb{N}$  and on the other hand if  $f$  belongs to  $\mathcal{J}$ , then  $\mu(f) > 0$ ; as a result

$$\{\mu(f) \mid f \in \mathcal{J}\} \subseteq \mathbb{N} \setminus \{0\}.$$

Let us recall that if  $f_{\alpha,\beta} = \left(\frac{\alpha x + y}{x+1}, \beta y\right)$  then  $\mu(f_{\alpha,\beta}) = 1$ . Indeed, by induction one can prove that  $f_{\alpha,\beta}^{2n} = \left(\frac{P_n(x,y)}{Q_n(x,y)}, \beta^{2n} y\right)$  with

$$P_n(x,y) = \sum_{0 \leq i+j \leq n+1} a_{ij} x^i y^j \quad \quad Q_n(x,y) = \sum_{0 \leq i+j \leq n} b_{ij} x^i y^j$$

and  $a_{ij} \geq 0, b_{ij} \geq 0$  for any  $n \geq 0$ , so that  $\deg f_{\alpha,\beta}^{2n} = n + 1$  for any  $n \geq 0$ ; we conclude using the fact that  $\mu(f) = 2 \lim_{k \rightarrow +\infty} \frac{\deg(f^k)}{k}$ . Furthermore,  $\mu(f_{\alpha,\beta}^k) = |k\mu(f_{\alpha,\beta})| = |k|$  for any  $k \in \mathbb{Z}$ . Hence

$$\{\mu(f) \mid f \in \mathcal{J}\} = \mathbb{N} \setminus \{0\}$$

and

$$\{\mu(f) \mid f \in \text{Bir}(\mathbb{P}_{\mathbb{C}}^2)\} = \mathbb{N}.$$

As we have seen if  $f$  belongs to  $\mathcal{J}$ , then  $\mu(f) = 2 \lim_{k \rightarrow +\infty} \frac{\deg(f^k)}{k}$ . Can we express  $\mu(f)$  in a simplest way ? We will see that if  $f$  is a non base-wandering Jonquières twist, the answer is yes.

◇ **Results.**

The dynamical number of base-points of birational self maps of the complex projective plane satisfies the following properties:

**Theorem A.** 1. *If  $f$  is a birational self-map from  $\mathbb{P}_{\mathbb{C}}^2$  into itself, then its dynamical number of base-points is bounded: if  $f \in \text{Bir}(\mathbb{P}_{\mathbb{C}}^2)$ , then  $\mu(f) \leq 2 \deg(f) - 1$ .*

2. *We can precise the set of all dynamical numbers of base-points of birational maps of  $\mathbb{P}_{\mathbb{C}}^2$  (resp. of Jonquières maps of  $\mathbb{P}_{\mathbb{C}}^2$ ):*

$$\{\mu(f) \mid f \in \text{Bir}(\mathbb{P}_{\mathbb{C}}^2)\} = \mathbb{N} \quad \text{and} \quad \{\mu(f) \mid f \in \mathcal{J}\} = \mathbb{N} \setminus \{0\}.$$

3. *There exist sequences  $(f_n)_n$  of birational self-maps of  $\mathbb{P}_{\mathbb{C}}^2$  such that*

- ◇  $\mu(f_n) > 0$  for any  $n \in \mathbb{N}$ ;
- ◇  $\mu\left(\lim_{n \rightarrow +\infty} f_n\right) = 0$ .

4. *There exist sequences  $(f_n)_n$  of birational self-maps of  $\mathbb{P}_{\mathbb{C}}^2$  such that*

- ◇  $\mu(f_n) = 0$  for any  $n \in \mathbb{N}$ ;
- ◇  $\mu\left(\lim_{n \rightarrow +\infty} f_n\right) > 0$ .

Let us now give a formula to determine the dynamical number of base-points of Jonquières twists that preserves fiberwise the fibration.

**Theorem B.** *Let  $f = \left(\frac{A(y)x+B(y)}{C(y)x+D(y)}, y\right)$  be a Jonquières twist that preserves fiberwise the fibration, and let  $M_f$  be its associated matrix. Denote by  $\text{Tr}(M_f)$  the trace  $M_f$ , by  $\chi_f$  the characteristic polynomial of  $M_f$ , and by  $\Delta_f$  the discriminant of  $\chi_f$ . Then exactly one of the following holds:*

1. *If  $\chi_f$  has two distinct roots in  $\mathbb{C}[y]$ , then  $f$  is conjugate to  $g = \left(\frac{\text{Tr}(M_f)+\delta_f}{\text{Tr}(M_f)-\delta_f}x, y\right)$ , where  $\delta_f^2 = \Delta_f$ , and*

$$\mu(f) = \mu(g) = 2(\deg(g) - 1).$$

2. *If  $\chi_f$  has no root in  $\mathbb{C}[y]$ , set*

$$\Omega_f = \gcd\left(\frac{\text{Tr}(M_f)}{2}, \left(\frac{\text{Tr}(M_f)}{2}\right)^2 - \det(M_f)\right)$$

and let us define  $P_f$  and  $S_f$  as

$$\frac{\text{Tr}(M_f)}{2} = P_f \Omega_f, \quad \left( \frac{\text{Tr}(M_f)}{2} \right)^2 - \det(M_f) = S_f \Omega_f.$$

2.a. If  $\gcd(\Omega_f, S_f) = 1$ , then

- ◊ if  $\deg(S_f) \leq \deg(\Omega_f) + 2 \deg(P_f)$ , then  $\mu(f) = \deg(\Omega_f) + 2 \deg(P_f)$ ;
- ◊ otherwise  $\mu(f) = \deg(S_f)$ .

2.b. If  $S_f = \Omega_f^p T_f$  with  $p \geq 1$  and  $\gcd(T_f, \Omega_f) = 1$ , then

- ◊ if  $\deg(S_f) \leq \deg(\Omega_f) + 2 \deg(P_f)$ , then  $\mu(f) = 2 \deg(P_f)$ ;
- ◊ otherwise  $\mu(f) = \deg(S_f) - \deg(\Omega_f)$ .

2.c. If  $\Omega_f = S_f^p T_f$  with  $p \geq 1$  and  $\gcd(T_f, S_f) = 1$ , then  $\mu(f) = 2 \deg(P_f) + \deg(\Omega_f) - \deg(S_f)$ .

As a consequence we are able to determine the dynamical number of base-points of non base-wandering Jonquières twists:

**Corollary C.** Let  $f = (f_1, f_2)$  be a non base-wandering Jonquières twist.

If  $\ell$  is the order of  $f_2$ , then  $\mu(f) = \frac{\mu(f^\ell)}{\ell}$  where  $\mu(f^\ell)$  is given by Theorem B.

Combining the inequalities obtained in Theorem A and Theorem B we get the following statement (we use the notations introduced in Theorem B):

**Corollary D.** Let  $f$  be a Jonquières twist that preserves fiberwise the fibration. Assume that  $\chi_f$  has two distinct roots in  $\mathbb{C}[y]$ .

Then there exists a conjugate  $g$  of  $f$  such that  $g$  belongs to  $J_m$  and  $\deg(g) \leq \deg(f)$ . For instance  $g = \left( \frac{\text{Tr}(M_f) + \delta_f}{\text{Tr}(M_f) - \delta_f} x, y \right)$  suits.

## 2. DYNAMICAL NUMBER OF BASE-POINTS OF JONQUIÈRES TWISTS

In this section we will prove Theorem B.

Let  $f$  be an element of  $J_0$ ; write  $f$  as  $\left( \frac{A(y)x+B(y)}{C(y)x+D(y)}, y \right)$  with  $A, B, C, D \in \mathbb{C}[y]$ . The characteristic

polynomial of  $M_f = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$  is  $\chi_f(X) = X^2 - \text{Tr}(M_f)X + \det(M_f)$ . There are three possibilities:

- (1)  $\chi_f$  has one root of multiplicity 2 in  $\mathbb{C}[y]$ ;
- (2)  $\chi_f$  has two distinct roots in  $\mathbb{C}[y]$ ;
- (3)  $\chi_f$  has no root in  $\mathbb{C}[y]$ .

Let us consider these three possibilities.

- (1) If  $\chi_f$  has one root of multiplicity 2 in  $\mathbb{C}[y]$ , then  $f$  is conjugate to the elliptic birational map  $(x + a(y), y)$  of  $J_a$ . In particular  $f$  does not belong to  $\mathcal{J}$ .

(2) Assume that  $\chi_f$  has two distinct roots. The discriminant of  $\chi_f$  is

$$\Delta_f = (\text{Tr}(M_f))^2 - 4\det(M_f) = \delta_f^2$$

and the roots of  $\chi_f$  are

$$\frac{\text{Tr}(M_f) + \delta_f}{2} \quad \text{and} \quad \frac{\text{Tr}(M_f) - \delta_f}{2}.$$

Furthermore,  $M_f$  is conjugate to  $\begin{pmatrix} \frac{\text{Tr}(M_f) + \delta_f}{2} & 0 \\ 0 & \frac{\text{Tr}(M_f) - \delta_f}{2} \end{pmatrix}$ , i.e.  $f$  is conjugate to  $g = (a(y)x, y) \in$

$J_m$  with  $a(y) = \frac{\text{Tr}(M_f) + \delta_f}{\text{Tr}(M_f) - \delta_f}$ . Let us first express  $\mu(g)$  thanks to  $\deg(g)$ . Remark that  $g^k = (a(y)^k x, y)$ . Write  $a(y)^j$  as  $\frac{P_j(y)}{Q_j(y)}$  where  $P_j, Q_j \in \mathbb{C}[y]$ ,  $\gcd(P_j, Q_j) = 1$ , then  $\deg(g^j) = \max(\deg(P_j), \deg(Q_j)) + 1$ . But  $\deg(P_j) = j \deg(P)$  and  $\deg(Q_j) = j \deg(Q)$  so

$$\deg(g^k) = \max(k \deg(P_f), k \deg(Q_1)) + 1 = \underbrace{\max(\deg(P_f), \deg(Q_1))}_{\deg(g)-1} + 1.$$

As a consequence  $\deg(g^k) = k \deg(g) - k + 1$ . According to  $\mu(g) = 2 \lim_{k \rightarrow +\infty} \frac{\deg(g^k)}{k}$  we get

$$\mu(g) = 2 \lim_{k \rightarrow +\infty} \left( \deg(g) - 1 + \frac{1}{k} \right) = 2(\deg(g) - 1).$$

Let us now express  $\mu(f)$  thanks to  $f$ . Since  $f$  and  $g$  are conjugate  $\mu(f) = \mu(g)$  hence  $\mu(f) = 2(\deg(g) - 1)$ . But  $g = \left( \frac{\text{Tr}(M_f) + \delta_f}{\text{Tr}(M_f) - \delta_f} x, y \right)$ ; in particular

$$\deg(g) \leq 1 + \max(\deg(\text{Tr}(M_f) + \delta_f), \deg(\text{Tr}(M_f) - \delta_f))$$

and  $\mu(f) \leq 2 \max(\deg(\text{Tr}(M_f) + \delta_f), \deg(\text{Tr}(M_f) - \delta_f))$ .

(3) Suppose that  $\chi_f$  has no root in  $\mathbb{C}[y]$ . This means that  $(\text{Tr}(M_f))^2 - 4\det(M_f)$  is not a square in  $\mathbb{C}[y]$  (hence  $BC \neq 0$ ). Note that

$$\begin{pmatrix} C & \frac{D-A}{2} \\ 0 & 1 \end{pmatrix} \begin{pmatrix} A & B \\ C & D \end{pmatrix} \begin{pmatrix} C & \frac{D-A}{2} \\ 0 & 1 \end{pmatrix}^{-1} = \begin{pmatrix} \frac{\text{Tr}(M_f)}{2} & \left( \frac{\text{Tr}(M_f)}{2} \right)^2 - \det(M_f) \\ 1 & \frac{\text{Tr}(M_f)}{2} \end{pmatrix}.$$

In other words  $f$  is conjugate to

$$g = \left( \frac{\frac{\text{Tr}(M_f)}{2}x + \left( \frac{\text{Tr}(M_f)}{2} \right)^2 - \det(M_f)}{x + \frac{\text{Tr}(M_f)}{2}}, y \right) \in J_{\frac{\text{Tr}(M_f)}{2}}.$$



Set  $P(y) = \frac{\text{Tr}(M_f)}{2} \in \mathbb{C}[y]$  and  $F(y) = \left(\frac{\text{Tr}(M_f)}{2}\right)^2 - \det(M_f) \in \mathbb{C}[y]$ , i.e.  $f$  is conjugate to  $g = \left(\frac{P(y)x+F(y)}{x+P(y)}, y\right)$  with  $P, F \in \mathbb{C}[y]$ . Denote by  $d_P$  (resp.  $d_F$ ) the degree of  $P$  (resp.  $F$ ). Remark that  $\deg(g) = \max(d_P + 1, d_F, 2)$ .

Let us now express  $\deg(g^k)$ . Consider  $M_g = \begin{pmatrix} P & F \\ 1 & P \end{pmatrix}$  and set

$$Q = \begin{pmatrix} \sqrt{F} & -\sqrt{F} \\ 1 & 1 \end{pmatrix} \quad \text{and} \quad D = \begin{pmatrix} P + \sqrt{F} & 0 \\ 0 & P - \sqrt{F} \end{pmatrix}$$

Then  $M_g^k = QD^kQ^{-1}$  hence

$$M_g^k = \begin{pmatrix} \sqrt{F} \frac{(P+\sqrt{F})^k + (P-\sqrt{F})^k}{(P+\sqrt{F})^k - (P-\sqrt{F})^k} & F \\ 1 & \sqrt{F} \frac{(P+\sqrt{F})^k + (P-\sqrt{F})^k}{(P+\sqrt{F})^k - (P-\sqrt{F})^k} \end{pmatrix}$$

Let us set

$$\Upsilon_k = \sqrt{F} \frac{(P + \sqrt{F})^k + (P - \sqrt{F})^k}{(P + \sqrt{F})^k - (P - \sqrt{F})^k}$$

and let us denote by  $D_k$  (resp.  $N_k$ ) the denominator (resp. numerator) of  $\Upsilon_k$ .

**Lemma 2.1.** *Let  $\Omega_f = \gcd(P, F)$  and write  $P$  (resp.  $F$ ) as  $\Omega_f P_f$  (resp.  $\Omega_f S_f$ ). Assume  $\gcd(S_f, \Omega_f) = 1$ . Then*

- ◇ if  $d_{S_f} \leq d_{\Omega_f} + 2d_{P_f}$ , then  $\mu(g) = d_{\Omega_f} + 2d_{P_f}$ ;
- ◇ otherwise  $\mu(g) = d_{S_f}$ .

*Proof.* (a) Assume  $k$  even, write  $k$  as  $2\ell$ . A straightforward computation yields to

$$\Upsilon_{2\ell} = \frac{\sum_{j=0}^{\ell} \binom{2\ell}{2j} \Omega_f^{\ell-j} P_f^{2(\ell-j)} S_f^j}{P_f \sum_{j=0}^{\ell-1} \binom{2\ell}{2j+1} \Omega_f^{\ell-1-j} P_f^{2(\ell-1-j)} S_f^j}$$

Recall that  $\gcd(\Omega_f, S_f) = 1$  by assumption and  $\gcd(\Omega_f, P_f) = 1$  by construction. On the one hand

$$\deg(N_{2\ell}) = \begin{cases} \ell(d_{\Omega_f} + 2d_{P_f}) & \text{if } d_{S_f} \leq d_{\Omega_f} + 2d_{P_f} \\ \ell d_{S_f} & \text{otherwise} \end{cases}$$

On the other hand

$$\deg(D_{2\ell}) = \begin{cases} d_{P_f} + (\ell - 1)(d_{\Omega_f} + 2d_{P_f}) & \text{if } d_{S_f} \leq d_{\Omega_f} + 2d_{P_f} \\ d_{P_f} + (\ell - 1)d_{S_f} & \text{otherwise} \end{cases}$$

Finally

$$\deg(g^{2\ell}) = \begin{cases} \max\left(\ell(d_{\Omega_f} + 2d_{P_f}) + 1, d_{S_f} + \ell d_{\Omega_f} + (2\ell - 1)d_{P_f}, (\ell - 1)d_{\Omega_f} + (2\ell - 1)d_{P_f} + 2\right) & \text{if } d_{S_f} \leq d_{\Omega_f} + 2d_{P_f} \\ \max\left(\ell d_{S_f} + 1, d_{\Omega_f} + d_{P_f} + \ell d_{S_f}, d_{P_f} + (\ell - 1)d_{S_f} + 2\right) & \text{otherwise} \end{cases}$$

(b) Suppose  $k$  odd, write  $k$  as  $2\ell + 1$ . A straightforward computation yields to

$$\Upsilon_{2\ell+1} = P_f \Omega_f \frac{\sum_{j=0}^{\ell} \binom{2\ell+1}{2j} \Omega_f^{\ell-j} P_f^{2(\ell-j)} S_f^j}{\sum_{j=0}^{\ell} \binom{2\ell+1}{2j+1} \Omega_f^{\ell-j} P_f^{2(\ell-j)} S_f^j}$$

Let us recall that  $\gcd(\Omega_f, S_f) = 1$  by assumption and  $\gcd(\Omega_f, P_f) = 1$  by construction.

On the one hand

$$\deg(N_{2\ell+1}) = \begin{cases} \ell(d_{\Omega_f} + 2d_{P_f}) + d_{\Omega_f} + d_{P_f} & \text{if } d_{S_f} \leq d_{\Omega_f} + 2d_{P_f} \\ \ell d_{S_f} + d_{P_f} + d_{\Omega_f} & \text{otherwise} \end{cases}$$

On the other hand

$$\deg(D_{2\ell+1}) = \begin{cases} \ell(d_{\Omega_f} + 2d_{P_f}) & \text{if } d_{S_f} \leq d_{\Omega_f} + 2d_{P_f} \\ \ell d_{S_f} & \text{otherwise} \end{cases}$$

Finally

$$\deg(g^{2\ell+1}) = \begin{cases} \max\left((\ell + 1)d_{\Omega_f} + (2\ell + 1)d_{P_f} + 1, (\ell + 1)d_{\Omega_f} + 2\ell d_{P_f} + d_{S_f}, \ell(d_{\Omega_f} + 2d_{P_f}) + 2\right) & \text{if } d_{S_f} \leq d_{\Omega_f} + 2d_{P_f} \\ \max\left(\ell d_{S_f} + d_{P_f} + d_{\Omega_f} + 1, (\ell + 1)d_{S_f} + d_{\Omega_f}, \ell d_{S_f} + 2\right) & \text{otherwise} \end{cases}$$

We conclude with the equality  $\mu(g) = 2 \lim_{k \rightarrow +\infty} \frac{\deg(g^k)}{k}$ .  $\square$

**Lemma 2.2.** *Let  $\Omega_f = \gcd(P, F)$  and write  $P$  (resp.  $F$ ) as  $\Omega_f P_f$  (resp.  $\Omega_f S_f$ ). Suppose that  $S_f = \Omega_f^p T_f$  with  $p \geq 1$  and  $\gcd(T_f, \Omega_f) = 1$ . Then*

- $\diamond$  if  $d_{S_f} \leq d_{\Omega_f} + 2d_{P_f}$ , then  $\mu(g) = 2d_{P_f}$ ;
- $\diamond$  otherwise  $\mu(g) = d_{S_f} - d_{\Omega_f}$ .

*Proof.* (a) Assume  $k$  even, write  $k$  as  $2\ell$ . We get

$$\Upsilon_{2\ell} = \frac{\sum_{j=0}^{\ell} \binom{2\ell}{2j} \Omega_f^{\ell-j} P_f^{2(\ell-j)} S_f^j}{P_f \sum_{j=0}^{\ell-1} \binom{2\ell}{2j+1} \Omega_f^{\ell-1-j} P_f^{2(\ell-1-j)} S_f^j} = \frac{\Omega_f \sum_{j=0}^{\ell} \binom{2\ell}{2j} \Omega_f^{(p-1)j} P_f^{2(\ell-j)} T_f^j}{P_f \sum_{j=0}^{\ell-1} \binom{2\ell}{2j+1} \Omega_f^{(p-1)j} P_f^{2(\ell-1-j)} T_f^j}$$

Recall that  $\gcd(\Omega_f, T_f) = 1$  and that  $d_{S_f} = pd_{\Omega_f} + d_{T_f}$ , i.e.  $d_{T_f} = d_{S_f} - pd_{\Omega_f}$ . On the one hand

$$\deg(N_{2\ell}) = \begin{cases} 2\ell d_{P_f} + d_{\Omega_f} & \text{if } d_{S_f} \leq d_{\Omega_f} + 2d_{P_f} \\ \ell d_{S_f} + (1 - \ell)d_{\Omega_f} & \text{otherwise} \end{cases}$$

On the other hand

$$\deg(D_{2\ell}) = \begin{cases} (2\ell - 1)d_{P_f} & \text{if } d_{S_f} \leq d_{\Omega_f} + 2d_{P_f} \\ (\ell - 1)(d_{S_f} - d_{\Omega_f}) + d_{P_f} & \text{otherwise} \end{cases}$$

Finally

$$\deg(g^{2\ell}) = \begin{cases} \max\left(2\ell d_{P_f} + d_{\Omega_f} + 1, (2\ell - 1)d_{P_f} + d_{\Omega_f} + d_{S_f}, (2\ell - 1)d_{P_f} + 1\right) & \text{if } d_{S_f} \leq d_{\Omega_f} + 2d_{P_f} \\ \max\left(\ell d_{S_f} - (\ell - 1)d_{\Omega_f} + 1, \ell d_{S_f} + (2 - \ell)d_{\Omega_f} + d_{P_f}, (\ell - 1)(d_{S_f} - d_{\Omega_f}) + d_{P_f} + 1\right) & \text{otherwise} \end{cases}$$

(b) Suppose  $k$  odd, write  $k$  as  $2\ell + 1$ . We get

$$\Upsilon_{2\ell+1} = \frac{P_f \Omega_f \sum_{j=0}^{\ell} \binom{2\ell+1}{2j} \Omega_f^{\ell-j} P_f^{2(\ell-j)} S_f^j}{\sum_{j=0}^{\ell} \binom{2\ell+1}{2j+1} \Omega_f^{\ell-j} P_f^{2(\ell-j)} S_f^j} = \frac{P_f \Omega_f \sum_{j=0}^{\ell} \binom{2\ell+1}{2j} \Omega_f^{(p-1)j} P_f^{2(\ell-j)} T_f^j}{\sum_{j=0}^{\ell} \binom{2\ell+1}{2j+1} \Omega_f^{(p-1)j} P_f^{2(\ell-j)} T_f^j}$$

On the one hand

$$\deg(N_{2\ell+1}) = \begin{cases} (2\ell + 1)d_{P_f} + d_{\Omega_f} & \text{if } d_{S_f} \leq d_{\Omega_f} + 2d_{P_f} \\ \ell d_{S_f} - (\ell - 1)d_{\Omega_f} + d_{P_f} & \text{otherwise} \end{cases}$$

On the other hand

$$\deg(D_{2\ell+1}) = \begin{cases} 2\ell d_{P_f} & \text{if } d_{S_f} \leq d_{\Omega_f} + 2d_{P_f} \\ \ell d_{S_f} - \ell d_{\Omega_f} & \text{otherwise} \end{cases}$$

Finally

$$\deg(g^{2\ell+1}) = \begin{cases} \max\left((2\ell + 1)d_{P_f} + d_{\Omega_f} + 1, 2\ell d_{P_f} + d_{\Omega_f} + d_{S_f}, 2\ell d_{P_f} + 1\right) & \text{if } d_{S_f} \leq d_{\Omega_f} + 2d_{P_f} \\ \max\left(\ell d_{S_f} - (\ell - 1)d_{\Omega_f} + d_{P_f} + 1, (\ell + 1)d_{S_f} - (\ell - 1)d_{\Omega_f}, \ell d_{S_f} - \ell d_{\Omega_f} + 1\right) & \text{otherwise} \end{cases}$$

We conclude with the equality  $\mu(g) = 2 \lim_{k \rightarrow +\infty} \frac{\deg(g^k)}{k}$ .  $\square$

**Lemma 2.3.** *Let  $\Omega_f = \gcd(P, F)$  and write  $P$  (resp.  $F$ ) as  $\Omega_f P_f$  (resp.  $\Omega_f S_f$ ). Suppose that  $\Omega_f = S_f^p T_f$  with  $p \geq 1$  and  $\gcd(T_f, S_f) = 1$ . Then*

$$\mu(g) = 2d_{P_f} + d_{\Omega_f} - d_{S_f}.$$

*Proof.* (a) Assume  $k$  even, write  $k$  as  $2\ell$ . We obtain

$$\Upsilon_{2\ell} = \frac{\sum_{j=0}^{\ell} \binom{2\ell}{2j} \Omega_f^{\ell-j} P_f^{2(\ell-j)} S_f^j}{P_f \sum_{j=0}^{\ell-1} \binom{2\ell}{2j+1} \Omega_f^{\ell-1-j} P_f^{2(\ell-1-j)} S_f^j} = \frac{S_f \sum_{j=0}^{\ell} \binom{2\ell}{2j} S_f^{j(p-1)} P_f^{2j} T_f^j}{P_f \sum_{j=0}^{\ell-1} \binom{2\ell}{2j+1} S_f^{j(p-1)} P_f^{2j} T_f^j}$$

Recall that  $\gcd(S_f, T_f) = 1$ ; one has

$$\deg(N_{2\ell}) = (p\ell - \ell + 1)d_{S_f} + 2\ell d_{P_f} + \ell d_{T_f}$$

and

$$\deg(D_{2\ell}) = (\ell - 1)(p - 1)d_{S_f} + (2\ell - 1)d_{P_f} + (\ell - 1)d_{T_f}$$

Finally

$$\begin{aligned} \deg(g^{2\ell}) = \max \left( (p\ell - \ell + 1)d_{S_f} + 2\ell d_{P_f} + \ell d_{T_f} + 1, \right. \\ \left. (\ell(p - 1) + 2)d_{S_f} + (2\ell - 1)d_{P_f} + \ell d_{T_f}, \right. \\ \left. (\ell - 1)(p - 1)d_{S_f} + (2\ell - 1)d_{P_f} + (\ell - 1)d_{T_f} + 2 \right) \end{aligned}$$

(b) Suppose  $k$  odd, write  $k$  as  $2\ell + 1$ . We get

$$\Upsilon_{2\ell+1} = \frac{\sum_{j=0}^{\ell} \binom{2\ell+1}{2j} P^{2\ell+1-2j} F^j \quad S_f^p T_f P_f \sum_{j=0}^{\ell} \binom{2\ell+1}{2(\ell-j)} S_f^{j(p-1)} T_f^j P_f^{2j}}{\sum_{j=0}^{\ell} \binom{2\ell+1}{2j+1} P^{2\ell-2j} F^j \quad \sum_{j=0}^{\ell} \binom{2\ell+1}{2j} S_f^{j(p-1)} T_f^j P_f^{2j}}.$$

On the one hand

$$\deg(N_{2\ell+1}) = (p + \ell(p - 1))d_{S_f} + (\ell + 1)d_{T_f} + (2\ell + 1)d_{P_f},$$

and on the other hand

$$\deg(D_{2\ell+1}) = 2\ell d_{P_f} + \ell d_{T_f} + \ell(p - 1)d_{S_f}.$$

Finally

$$\begin{aligned} \deg(g^{2\ell+1}) = \max \left( (p + \ell(p - 1))d_{S_f} + (\ell + 1)d_{T_f} + (2\ell + 1)d_{P_f} + 1, \right. \\ \left. (p + 1 + \ell(p - 1))d_{S_f} + 2\ell d_{P_f} + (\ell + 1)d_{T_f}, \right. \\ \left. 2\ell d_{P_f} + \ell d_{T_f} + \ell(p - 1)d_{S_f} + 2 \right) \end{aligned}$$

We conclude with the equality  $\mu(g) = 2 \lim_{k \rightarrow +\infty} \frac{\deg(g^k)}{k}$ . □

### 3. EXAMPLES

In this section we will give examples that illustrate Theorem B; more precisely §3.1 (resp. §3.2) illustrates Theorem B.1. (resp. Theorem B.2.)

#### 3.1. Example that illustrates Theorem B.1.

3.1.1. *First example.* Consider the birational map of  $J$  given in the affine chart  $x = 1$  by  $f = (y, (1 - y)yz)$ . The matrix associated to  $f$  is

$$M_f = \begin{pmatrix} (1-y)y & 0 \\ 0 & 1 \end{pmatrix},$$

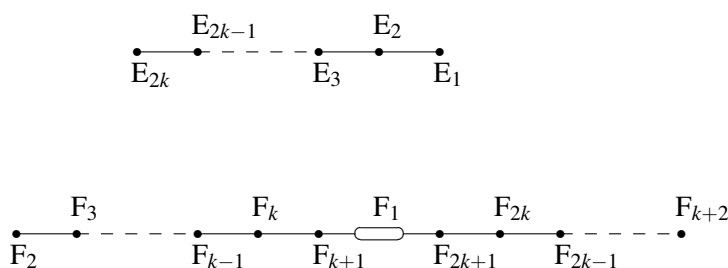
and the Baum Bott index  $\text{BB}(f)$  of  $f$  is  $\frac{((1-y)y+1)^2}{(1-y)y}$ ; in particular  $f$  belongs to  $\mathcal{J}$  (Proposition 1.1). The characteristic polynomial of  $M_f$  is

$$\chi_f(X) = (X - (1 - y)y)(X - 1).$$

According to Theorem B.1. one has  $\mu(f) = 4 \leq 2 \max(\deg(2), \deg(2(1 - y)y)) = 4$ .

We can see it another way: [CD12] asserts that  $\deg(f^k) = k \deg(f) - k + 1 = 3k - k + 1 = 2k + 1$ . Consequently  $\mu(f) = 2 \lim_{k \rightarrow +\infty} \frac{\deg(f^k)}{k} = 2 \lim_{k \rightarrow +\infty} \frac{2k + 1}{k} = 4$ .

A third way to see this is to look at the configuration of the exceptional divisors. For any  $k \geq 1$  one has  $f^k = (x^{2k+1} : x^{2k}y : (x - y)^k y^k z)$ . The configuration of the exceptional divisors of  $f^k$  is



where

- ◊ two curves are related by an edge if their intersection is positive;
- ◊ the self-intersections correspond to the shape of the vertices;
- ◊ the point means self-intersection  $-1$ , the rectangle means self-intersection  $-2k$ .

In particular the number of base-points of  $f^k$  is  $2k + 2k + 1 = 4k + 1$  and

$$\mu(f) = \lim_{k \rightarrow +\infty} \frac{\#\mathfrak{b}(f^k)}{k} = 4.$$

3.1.2. *Second example.* Consider the birational map of  $J$  given in the affine chart  $z = 1$  by  $f = (x, xy + x(x - 1))$ . The matrix associated to  $f$  is

$$M_f = \begin{pmatrix} x & x(x-1) \\ 0 & 1 \end{pmatrix};$$

according to Proposition 1.1 the map  $f$  is a Jonquière twist (indeed  $\text{BB}(f) = \frac{(1+x)^2}{x} \in \mathbb{C}(x) \setminus \mathbb{C}$ ). The characteristic polynomial of  $M_f$  is  $\chi_f(X) = (X-x)(X-1)$ . and  $f$  is conjugate to  $g = (x, xy)$ . According to Theorem B.1. one has

$$\mu(f) = \mu(g) = 2(\deg(g) - 1) = 2 \leq 2 \max(\deg(2), \deg(2(1-y)y)) = 2.$$

We can see it another way: for any  $k \geq 1$  one has  $f^k = (x, x^k y + x^{k+1} - x)$  and thus  $\deg(f^k) = k+1$ . As a result  $\mu(f) = 2 \lim_{k \rightarrow +\infty} \frac{\deg(f^k)}{k} = 2 \times 1 = 2$ .

### 3.2. Examples that illustrate Theorem B.2.

3.2.1. *First example.* Consider the map of  $J$  given in the affine chart  $y = 1$  by

$$f = \left( x, \frac{x(1-xz)}{z} \right).$$

The matrix associated to  $f$  is

$$M_f = \begin{pmatrix} -x^2 & x \\ 1 & 0 \end{pmatrix},$$

the Baum Bott index  $\text{BB}(f)$  of  $f$  is  $-x^3$  and  $f$  belongs to  $\mathcal{J}$  (Proposition 1.1).

Theorem B.2.a. asserts that  $\mu(f) = 3$ . We can see it another way: a computation gives  $\deg(f^{2k}) = 3k+1$  and  $\deg(f^{2k+1}) = 3(k+1)$  for any  $k \geq 0$ . Since  $\mu(f) = 2 \lim_{k \rightarrow +\infty} \frac{\deg(f^k)}{k}$  one gets  $\mu(f) = 3$ .

3.2.2. *Second example.* Consider the map  $f$  of  $J$  associated to the matrix

$$M_f = \begin{pmatrix} y & 2y^8 \\ y & 1 \end{pmatrix}.$$

The Baum Bott index  $\text{BB}(f)$  of  $f$  is  $\frac{(y+1)^2}{y(1-2y^8)}$  and  $f$  belongs to  $\mathcal{J}$  (Proposition 1.1). Theorem B.2.a. asserts that  $\mu(f) = 9$ . We can see it another way: a computation gives  $\deg(f^{2k}) = 9k+1$  and  $\deg(f^{2k+1}) = 9k+8$  for any  $k \geq 0$ . Since  $2 \lim_{k \rightarrow +\infty} \frac{\deg(f^k)}{k} = \mu(f)$  one gets  $\mu(f) = 9$ .

3.2.3. *Third example.* Let us consider the Jonquière map of  $\mathbb{P}_{\mathbb{C}}^2$  given in the affine chart  $z = 1$  by

$$f = \left( \frac{y(y+2)x + y^5}{x + y(y+2)}, y \right).$$

The matrix associated to  $f$  is

$$M_f = \begin{pmatrix} y(y+2) & y^5 \\ 1 & y(y+2) \end{pmatrix}$$

and the Baum Bott index  $\text{BB}(f)$  of  $f$  is  $\frac{4(y+2)^2}{(y+2)^2 - y^5}$ . In particular  $f$  is a Jonquière twist (Proposition 1.1).

According to Theorem B.2.b. one has  $\mu(f) = 3$ . An other way to see that is to compute  $\deg f^k$  for any  $k$ : for any  $\ell \geq 1$  one has

$$\deg(f^{2\ell}) = 3(\ell + 1), \quad \deg(f^{2\ell+1}) = 3\ell + 5.$$

Then we find again  $\mu(f) = 2 \lim_{k \rightarrow +\infty} \frac{\deg(f^k)}{k} = 3$ .

3.2.4. *Fourth example.* Consider the map  $f$  of  $J$  associated to the matrix

$$M_f = \begin{pmatrix} y(y+2)^8 & y^5 \\ 1 & y(y+2)^8 \end{pmatrix}.$$

The Baum Bott index  $\text{BB}(f)$  of  $f$  is  $\frac{4(y+2)^{16}}{(y+2)^{16}-y^3}$  and  $f$  belongs to  $\mathcal{J}$  (Proposition 1.1). According to Theorem B.2.b. one has  $\mu(f) = 16$ . An other way to see that is to compute  $\deg f^k$  for any  $k$ : for any  $k \geq 1$  one has  $\deg f^k = 8k + 2$ . Then we find again  $\mu(f) = 2 \lim_{k \rightarrow +\infty} \frac{\deg(f^k)}{k} = 2 \times 8 = 16$ .

3.2.5. *Fifth example.* Let us consider the Jonquière map of  $\mathbb{P}_{\mathbb{C}}^2$  given in the affine chart  $z = 1$  by

$$f = \left( \frac{y(y+1)(y+2)x + y^2}{(y+2)x + y(y+1)(y+2)}, y \right).$$

The matrix associated to  $f$  is

$$M_f = \begin{pmatrix} y(y+1)(y+2) & y^2 \\ y+2 & y(y+1)(y+2) \end{pmatrix}$$

and the Baum-Bott index  $\text{BB}(f)$  of  $f$  is  $\frac{4(y+1)^2(y+2)}{(y+1)^2(y+2)-1}$ ; in particular  $f$  is a Jonquière twist (Proposition 1.1).

Theorem B.2.c. asserts that  $\mu(f) = 3$ . An other way to see that is to compute  $\deg f^k$  for any  $k$ : for any  $k \geq 1$

$$\deg(f^{2k}) = 3k + 2 \quad \deg(f^{2k+1}) = 3k + 4$$

so  $2 \lim_{k \rightarrow +\infty} \frac{\deg(f^k)}{k} = 3$  and we find again  $\mu(f) = 3$ .

### 3.3. Families.

3.3.1. *First family.* Let us consider the family  $(f_t)_t$  of elements of  $J$  given by  $f_t = (x+t, y \frac{x}{x+1})$ . A straightforward computation yields to

$$f_t^n = \left( x+nt, y \frac{x}{x+1} \frac{x+t}{x+t+1} \cdots \frac{x+(n-1)t}{x+(n-1)t+1} \right)$$

The birational map  $f_t$  belongs to  $\mathcal{J}$  if some multiple of  $t$  is equal to 1, and to  $J \setminus \mathcal{J}$  otherwise. Furthermore,

- ◇ if no multiple of  $t$  is equal to 1, then  $\mu(f_t) = 2$  (because  $\lim_{k \rightarrow +\infty} \frac{\deg f_t^k}{k} = 1$ );
- ◇ otherwise  $\mu(f_t) = 0$ .

3.3.2. *Second family, illustration of Theorem A.3.* Let us recall a result of [CDX21]: let  $f$  be any element of  $\mathrm{PGL}_3(\mathbb{C})$ , or any elliptic element of  $\mathrm{Bir}(\mathbb{P}_{\mathbb{C}}^2)$  of infinite order; then  $f$  is a limit of pairwise conjugate loxodromic elements (resp. Jonquières twists) in the Cremona group. Hence there exist families  $(f_n)_n$  of birational self-maps of the complex projective plane such that

- ◇  $\mu(f_n) > 0$  for any  $n \in \mathbb{N}$ ;
- ◇  $\mu\left(\lim_{n \rightarrow +\infty} f_n\right) = 0$ .

3.3.3. *Third family, illustration of Theorem A.4.* Let us recall a construction given in [CDX21]. Consider a pencil of cubic curves with nine distinct base points  $p_i$  in  $\mathbb{P}_{\mathbb{C}}^2$ . Given a point  $m$  in  $\mathbb{P}_{\mathbb{C}}^2$ , draw the line  $(p_1 m)$  and denote by  $m'$  the third intersection point of this line with the cubic of our pencil that contains  $m$ : the map  $m \mapsto \sigma_1(m) = m'$  is a birational involution. Replacing  $p_1$  by  $p_2$ , we get a second involution and, for a very general pencil,  $\sigma_1 \circ \sigma_2$  is a Halphen twist that preserves our cubic pencil. At the opposite range, consider the degenerate cubic pencil, the members of which are the union of a line through the origin and the circle  $C = \{x^2 + y^2 = z^2\}$ . Choose  $p_1 = (1 : 0 : 1)$  and  $p_2 = (0 : 1 : 1)$  as our distinguished base points. Then,  $\sigma_1 \circ \sigma_2$  is a Jonquières twist preserving the pencil of lines through the origin; if the plane is parameterized by  $(s, t) \mapsto (st, t)$ , this Jonquières twist is conjugate to  $(s, t) \mapsto \left(s, \frac{(s-1)t+1}{(s^2+1)t+s-1}\right)$ . Now, if we consider a family of general cubic pencils converging towards this degenerate pencil, we obtain a sequence of Halphen twists converging to a Jonquières twist. So there exists a sequence  $(f_n)_n$  of birational self-maps of  $\mathbb{P}_{\mathbb{C}}^2$  whose limit is also a birational self-map of  $\mathbb{P}_{\mathbb{C}}^2$  and such that

- ◇  $\mu(f_n) = 0$  for any  $n \in \mathbb{N}$ ;
- ◇  $\mu\left(\lim_{n \rightarrow +\infty} f_n\right) > 0$ .

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