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# ACTION OF THE CREMONA GROUP ON FOLIATIONS ON $\mathbb{P}_{\mathbb{C}}^2$ : SOME CURIOUS FACTS

by

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**Abstract.** — The Cremona group of birational transformations of  $\mathbb{P}_{\mathbb{C}}^2$  acts on the space  $\mathbb{F}(2)$  of holomorphic foliations on the complex projective plane. Since this action is not compatible with the natural graduation of  $\mathbb{F}(2)$  by the degree, its description is complicated. The fixed points of the action are essentially described by Cantat-Favre in [3]. In that paper we are interested in problems of "aberration of the degree" that is pairs  $(\phi, \mathcal{F}) \in \text{Bir}(\mathbb{P}_{\mathbb{C}}^2) \times \mathbb{F}(2)$  for which  $\deg \phi^* \mathcal{F} < (\deg \mathcal{F} + 1) \deg \phi + \deg \phi - 2$ , the generic degree of such pull-back. We introduce the notion of numerical invariance ( $\deg \phi^* \mathcal{F} = \deg \mathcal{F}$ ) and relate it in small degrees to the existence of transversal structure for the considered foliations.

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## 1. Introduction

Let us consider on the complex projective plane  $\mathbb{P}_{\mathbb{C}}^2$  a foliation  $\mathcal{F}$  of degree  $d$  and a birational map  $\phi$  of degree  $k$ . If the pair  $(\mathcal{F}, \phi)$  is generic then

$$\deg \phi^* \mathcal{F} = (d + 1)k + k - 2.$$

For example if  $\mathcal{F}$  and  $\phi$  are both of degree 2, then  $\phi^* \mathcal{F}$  is of degree 6. Nevertheless one has the following statement which says that "aberration of the degree" is not exceptional:

**Theorem A.** — *For any foliation  $\mathcal{F}$  of degree 2 on  $\mathbb{P}_{\mathbb{C}}^2$  there exists a quadratic birational map  $\psi$  of  $\mathbb{P}_{\mathbb{C}}^2$  such that  $\deg \psi^* \mathcal{F} \leq 3$ .*

Holomorphic singular foliations on compact complex projective surfaces have been classified up to birational equivalence by Brunella, McQuillan and Mendes ([1]). Let  $\mathcal{F}$  be a holomorphic singular foliation on a compact complex projective surface  $S$ . Let  $\text{Bir}(\mathcal{F})$  (resp.  $\text{Aut}(\mathcal{F})$ ) denote the group of birational (resp. biholomorphic) maps of  $S$  that send leaf to leaf. If  $\mathcal{F}$  is of general type, then  $\text{Bir}(\mathcal{F}) = \text{Aut}(\mathcal{F})$  is a finite group. In [3] Cantat and Favre classify the pairs  $(S, \mathcal{F})$  for which  $\text{Bir}(\mathcal{F})$  (resp.  $\text{Aut}(\mathcal{F})$ ) is infinite; in the case of  $\mathbb{P}_{\mathbb{C}}^2$  such foliations are given by closed rational 1-forms.

In this article we introduce a weaker notion: the numerical invariance. We consider on  $\mathbb{P}_{\mathbb{C}}^2$  a pair  $(\mathcal{F}, \phi)$  of a foliation  $\mathcal{F}$  of degree  $d$  and a birational map  $\phi$  of degree  $k \geq 2$ . The foliation  $\mathcal{F}$  is **numerically invariant**

under the action of  $\phi$  if  $\deg \phi^* \mathcal{F} = \deg \mathcal{F}$ . We characterize such pairs  $(\mathcal{F}, \phi)$  with  $\deg \mathcal{F} = \deg \phi = 2$  which is the first degree with deep (algebraic and dynamical) phenomena, both for foliations and birational maps. We prove that a numerically invariant foliation under the action of a generic quadratic map is special:

**Theorem B.** — *Let  $\mathcal{F}$  be a foliation of degree 2 on  $\mathbb{P}_{\mathbb{C}}^2$  numerically invariant under the action of a generic quadratic birational map of  $\mathbb{P}_{\mathbb{C}}^2$ . Then  $\mathcal{F}$  is transversely projective.*

In that statement generic means outside an hypersurface in the space  $\mathring{\text{Bir}}_2$  of quadratic birational maps of  $\mathbb{P}_{\mathbb{C}}^2$ .

For any quadratic birational map  $\phi$  of  $\mathbb{P}_{\mathbb{C}}^2$  there exists at least one foliation of degree 2 on  $\mathbb{P}_{\mathbb{C}}^2$  numerically invariant under the action of  $\phi$  and we give "normal forms" for such foliations. We don't know if the foliations numerically invariant under the action of a non-generic quadratic birational map have a special transversal structure. Problem: for any birational map  $\phi$  of degree  $d \geq 3$ , does there exist a foliation numerically invariant under the action of  $\phi$  ?

A foliation  $\mathcal{F}$  on  $\mathbb{P}_{\mathbb{C}}^2$  is **primitive** if  $\deg \mathcal{F} \leq \deg \phi^* \mathcal{F}$  for any birational map  $\phi$ . Foliations of degree 0 and 1 are defined by a rational closed 1-form (it is a well-known fact, see for example [2]). Hence a non-primitive foliation of degree 2 is also defined by a closed 1-form that is a very special case of transversely projective foliations. Generically a foliation of degree 2 is primitive. Remark that there are foliations that are pull-back by a rational map of degree greater than 1, and that are nevertheless primitive. This is the case of the foliation given by  $Q_1 dQ_2 - Q_2 dQ_1$  where  $Q_1$  and  $Q_2$  denote two generic polynomials of degree 3, in other words a generic pencil of elliptic curves. The following problem seems relevant: classify in any degree the primitive foliations numerically invariant under the action of birational maps of degree  $\geq 2$ ; are such foliations transversely projective or is this situation specific to the degree 2 ? In this vein we get the following statement.

**Theorem C.** — *A foliation  $\mathcal{F}$  of degree 2 on  $\mathbb{P}_{\mathbb{C}}^2$  numerically invariant under the action of a generic cubic birational map of  $\mathbb{P}_{\mathbb{C}}^2$  satisfies the following properties:*

- $\mathcal{F}$  is given by a closed rational 1-form (Liouvillian integrability);
- $\mathcal{F}$  is non-primitive.

Is it a general fact, *i.e.* if  $\mathcal{F}$  is numerically invariant under the action of  $\phi$  and  $\deg \phi \gg \deg \mathcal{F}$  is  $\mathcal{F}$  Liouvillian integrable ?

The text is organized as follows: we first give some definitions, notations and properties of birational maps of  $\mathbb{P}_{\mathbb{C}}^2$  and foliations on  $\mathbb{P}_{\mathbb{C}}^2$ . In §3 we give a proof of Theorem A; we focus on foliations of degree 2 on  $\mathbb{P}_{\mathbb{C}}^2$  that have at least two singular points, and then on foliations of degree 2 on  $\mathbb{P}_{\mathbb{C}}^2$  with exactly one singular point. The section 4 is devoted to the description of foliations of degree 2 on  $\mathbb{P}_{\mathbb{C}}^2$  numerically invariant under the action of any quadratic birational map. This allows us to prove Theorem B. At the end of the paper, §5, we describe the foliations of degree 2 numerically invariant under some cubic birational maps of  $\mathbb{P}_{\mathbb{C}}^2$ , and we finally establish Theorem C.

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## 2. Some definitions, notations and properties

**2.1. About birational maps of  $\mathbb{P}_{\mathbb{C}}^2$ .** — A *rational map*  $\phi$  of  $\mathbb{P}_{\mathbb{C}}^2$  is a "map" of the type

$$\phi: \mathbb{P}_{\mathbb{C}}^2 \dashrightarrow \mathbb{P}_{\mathbb{C}}^2, \quad (x : y : z) \dashrightarrow (\phi_0(x, y, z) : \phi_1(x, y, z) : \phi_2(x, y, z))$$

where the  $\phi_i$ 's are homogeneous polynomials of the same degree and without common factor. The *degree* of  $\phi$  is by definition the degree of the  $\phi_i$ 's. A *birational map*  $\phi$  of  $\mathbb{P}_{\mathbb{C}}^2$  is a rational map having a rational "inverse"  $\psi$ , i.e.  $\phi \circ \psi = \psi \circ \phi = \text{id}$ . The first examples are the birational maps of degree 1 which generate the group  $\text{Aut}(\mathbb{P}_{\mathbb{C}}^2) = \text{PGL}(3; \mathbb{C})$ . Let us give some examples of quadratic birational maps:

$$\begin{aligned} \sigma: (x : y : z) &\dashrightarrow (yz : xz : xy), & \rho: (x : y : z) &\dashrightarrow (xy : z^2 : yz), \\ \tau: (x : y : z) &\dashrightarrow (x^2 : xy : y^2 - xz). \end{aligned}$$

These three maps, which are involutions, play an important role in the description of the set of quadratic birational maps of  $\mathbb{P}_{\mathbb{C}}^2$ .

The birational maps of  $\mathbb{P}_{\mathbb{C}}^2$  form a group denoted  $\text{Bir}(\mathbb{P}_{\mathbb{C}}^2)$  and called *Cremona group*. If  $\phi$  is an element of  $\text{Bir}(\mathbb{P}_{\mathbb{C}}^2)$  then  $\mathcal{O}(\phi)$  is the orbit of  $\phi$  under the action of  $\text{Aut}(\mathbb{P}_{\mathbb{C}}^2) \times \text{Aut}(\mathbb{P}_{\mathbb{C}}^2)$ :

$$\mathcal{O}(\phi) = \{ \ell \phi \ell' \mid \ell, \ell' \in \text{Aut}(\mathbb{P}_{\mathbb{C}}^2) \}.$$

A very old theorem, often called Noether Theorem, says that any element of  $\text{Bir}(\mathbb{P}_{\mathbb{C}}^2)$  can be written, up to the action of an automorphism of  $\mathbb{P}_{\mathbb{C}}^2$ , as a composition of quadratic birational maps ([4]). In [5, Chapters 1 & 6] the structure of the set  $\text{Bir}_d$  (resp.  $\mathring{\text{Bir}}_d$ ) of birational maps of  $\mathbb{P}_{\mathbb{C}}^2$  of degree  $\leq d$  (resp. of degree  $d$ ) has been studied when  $d = 2$  and  $d = 3$ .

**Theorem 2.1 (Corollary 1.10, Theorem 1.31, [5]).** — *One has the following decomposition*

$$\mathring{\text{Bir}}_2 = \mathcal{O}(\sigma) \cup \mathcal{O}(\rho) \cup \mathcal{O}(\tau).$$

Furthermore

$$\text{Bir}_2 = \overline{\mathcal{O}(\sigma)}$$

where  $\overline{\mathcal{O}(\sigma)}$  denotes the ordinary closure of  $\mathcal{O}(\sigma)$ , and

$$\dim \mathcal{O}(\tau) = 12, \quad \dim \mathcal{O}(\rho) = 13, \quad \dim \mathcal{O}(\sigma) = 14.$$

Note that there is a more precise description of  $\text{Bir}_2$  in [5, Chapter 1].

We will further do some computations with birational maps of degree 3. Let us consider the following family of cubic birational maps:

$$\Phi_{a,b}: (x : y : z) \dashrightarrow (x(x^2 + y^2 + axy + bxz + yz) : y(x^2 + y^2 + axy + bxz + yz) : xyz)$$

with  $a, b \in \mathbb{C}$ ,  $a^2 \neq 4$  and  $2b \notin \{a \pm \sqrt{a^2 - 4}\}$ . The structure of  $\text{Bir}_3$  is much more complicated than the structure of  $\text{Bir}_2$  (see [5, Chapter 6]), nevertheless one has the following result.

**Theorem 2.2 (Proposition 6.35, Theorem 6.38, [5]).** — *The closure of*

$$\mathcal{X} = \{ \mathcal{O}(\Phi_{a,b}) \mid a, b \in \mathbb{C}, a^2 \neq 4, 2b \notin \{a \pm \sqrt{a^2 - 4}\} \}$$

*in the set of rational maps of degree 3 is an irreducible algebraic variety of dimension 18.*

*Furthermore the closure of  $\mathcal{X}$  in  $\mathring{\text{Bir}}_3$  is  $\mathring{\text{Bir}}_3$ .*

In the sequel we will say that a  $\Phi_{a,b}$  is a generic element of  $\text{Bir}_3$ .

The "most degenerate model" <sup>(1)</sup> is up to automorphisms of  $\mathbb{P}_{\mathbb{C}}^2$

$$\Psi: (x : y : z) \dashrightarrow (xz^2 + y^3 : yz^2 : z^3).$$

## 2.2. About foliations. —

**Definition 2.3.** — Let  $\mathcal{F}$  be a foliation (maybe singular) on a complex manifold  $M$ ; the foliation  $\mathcal{F}$  is a **singular transversely projective** one if there exists

- a)  $\pi: P \rightarrow M$  a  $\mathbb{P}^1$ -bundle over  $M$ ,
- b)  $\mathcal{G}$  a codimension one singular holomorphic foliation on  $P$  transversal to the generic fibers of  $\pi$ ,
- c)  $\zeta: M \rightarrow P$  a meromorphic section generically transverse to  $\mathcal{G}$ ,

such that  $\mathcal{F} = \zeta^* \mathcal{G}$ .

Let us give an other characterization of singular transversely projective foliations. Let  $\mathcal{F}$  be a foliation on  $\mathbb{P}_{\mathbb{C}}^2$ ; assume that there exist three rational 1-forms  $\theta_0, \theta_1$  and  $\theta_2$  on  $\mathbb{P}_{\mathbb{C}}^2$  such that

- i)  $\mathcal{F}$  is described by  $\theta_0$ , i.e.  $\mathcal{F} = \mathcal{F}_{\theta_0}$ ,
- ii) the  $\theta_i$ 's form a  $\mathfrak{sl}(2; \mathbb{C})$ -triplet, that is

$$d\theta_0 = \theta_0 \wedge \theta_1, \quad d\theta_1 = \theta_0 \wedge \theta_2, \quad d\theta_2 = \theta_1 \wedge \theta_2.$$

Then  $\mathcal{F}$  is a singular transversely projective foliation. To see it one considers the manifolds  $M = \mathbb{P}_{\mathbb{C}}^2$ ,  $P = \mathbb{P}_{\mathbb{C}}^2 \times \mathbb{P}_{\mathbb{C}}^1$ , the canonical projection  $\pi: P \rightarrow M$ , and the foliation  $\mathcal{G}$  given by

$$\theta = dz + \theta_0 + z\theta_1 + \frac{z^2}{2}\theta_2$$

where  $z$  is an affine coordinate of  $\mathbb{P}_{\mathbb{C}}^1$ ; in that case the transverse section is  $z = 0$ . When one can choose the  $\theta_i$ 's such that  $\theta_1 = \theta_2 = 0$  (resp.  $\theta_2 = 0$ ) the foliation  $\mathcal{F}$  is **defined by a closed 1-form** (resp. is **transversely affine**).

Classical examples of singular transversely projective foliations are given by Riccati foliations.

**Definition 2.4.** — A **Riccati equation** is a differential equation of the following type

$$\mathcal{E}_R: y' = a(x)y^2 + b(x)y + c(x)$$

where  $a, b$  and  $c$  are meromorphic functions on an open subset  $\mathcal{U}$  of  $\mathbb{C}$ . To the equation  $\mathcal{E}_R$  one associates the meromorphic differential form

$$\omega_{\mathcal{E}_R} = dy - (a(x)y^2 + b(x)y + c(x)) dx$$

defined on  $\mathcal{U} \times \mathbb{C}$ . In fact  $\omega_{\mathcal{E}_R}$  induces a foliation  $\mathcal{F}_{\omega_{\mathcal{E}_R}}$  on  $\mathcal{U} \times \mathbb{P}_{\mathbb{C}}^1$  that is transverse to the generic fiber of the projection  $\mathcal{U} \times \mathbb{P}_{\mathbb{C}}^1 \rightarrow \mathcal{U}$ . One can check that

$$\theta_0 = \omega_{\mathcal{E}_R}, \quad \theta_1 = -(2a(x)y + b(x)) dx, \quad \theta_2 = -2a(x) dx$$

is a  $\mathfrak{sl}(2; \mathbb{C})$ -triplet associated to the foliation  $\mathcal{F}_{\omega_{\mathcal{E}_R}}$ .

We say that  $\omega_{\mathcal{E}_R}$  is a **Riccati 1-form** and  $\mathcal{F}_{\omega_{\mathcal{E}_R}}$  is a **Riccati foliation**.

1. In the following sense: the exceptional locus of any element of  $\text{Bir}_3$  is a union of degree 6 of conics and lines; the exceptional locus of  $\Psi$  is reduced to a single line of multiplicity 6.

Let  $S$  be a ruled surface, that is a surface  $S$  endowed with  $f: S \rightarrow C$ , where  $C$  denotes a curve and  $f^{-1}(c) \simeq \mathbb{P}_{\mathbb{C}}^1$ . Let us consider a singular foliation  $\mathcal{F}$  on  $S$  transverse to the generic fibers of  $f$ . The foliation  $\mathcal{F}$  is transversely projective.

Recall that a foliation  $\mathcal{F}$  on a surface  $S$  is *radial* at a point  $m$  of  $S$  if in local coordinates  $(x, y)$  around  $m$  the foliation  $\mathcal{F}$  is given by a holomorphic 1-form of the following type

$$\omega = x dy - y dx + \text{h.o.t.}$$

Let us denote by  $\mathbb{F}(n; d)$  the set of foliations of degree  $d$  on  $\mathbb{P}_{\mathbb{C}}^2$  (see [2]). The following statement gives a criterion which asserts that an element of  $\mathbb{F}(2; 2)$  is transversely projective.

**Proposition 2.5.** — *Let  $\mathcal{F} \in \mathbb{F}(2; 2)$  be a foliation of degree 2 on  $\mathbb{P}_{\mathbb{C}}^2$ . If a singular point of  $\mathcal{F}$  is radial, then  $\mathcal{F}$  is transversely projective.*

*Proof.* — Assume that the singular point is the origin 0 in the affine chart  $z = 1$ , the foliation  $\mathcal{F}$  is thus defined by a 1-form of the following type

$$\omega = x dy - y dx + q_1 dx + q_2 dy + q_3(x dy - y dx)$$

where the  $q_i$ 's denote quadratic forms. Let us consider the complex projective plane  $\mathbb{P}_{\mathbb{C}}^2$  blown up at the origin; this space is denoted by  $\text{Bl}(\mathbb{P}_{\mathbb{C}}^2, 0)$ . Let

$$\pi: \text{Bl}(\mathbb{P}_{\mathbb{C}}^2, 0) \rightarrow \mathbb{P}_{\mathbb{C}}^2$$

be the canonical projection. Then  $\pi^* \mathcal{F}$  is transverse to the generic fibers of  $\pi$ , and in fact transverse to all the fibers excepted the strict transforms of the lines  $xq_1 + yq_2 = 0$ . Hence the foliation  $\pi^* \mathcal{F}$  is transversely projective; since this notion is invariant under the action of a birational map,  $\mathcal{F}$  is transversely projective.  $\square$

**Remark 2.6.** — The same argument can be involved for foliations of degree 2 on  $\mathbb{P}_{\mathbb{C}}^2$  having a singular point with zero 1-jet.

**Remark 2.7.** — The closure of the set  $\Delta_R$  of foliations in  $\mathbb{F}(2; 2)$  having a radial singular point is irreducible, of codimension 2 in  $\mathbb{F}(2; 2)$ . Indeed  $\Delta_R$  is the  $\text{Aut}(\mathbb{P}_{\mathbb{C}}^2)$ -orbit of the set

$$\{x dy - y dx + q_1 dx + q_2 dy + q_3(x dy - y dx) \mid q_i \text{ quadratic form}\};$$

in fact it is easy to see that  $\overline{\Delta_R}$  is the  $\text{Aut}(\mathbb{P}_{\mathbb{C}}^2)$ -orbit of

$$\{\lambda(x dy - y dx) + q_1 dx + q_2 dy + q_3(x dy - y dx) \mid \lambda \in \mathbb{C}, q_i \text{ quadratic form}\}.$$

In particular  $\overline{\Delta_R}$  is an unirational set in  $\mathbb{F}(2; 2)$ .

### 3. Proof of Theorem A

We establish Theorem A in two steps: we first look at foliations that have at least two singular points and then at foliations with exactly one singular point.

**3.1. Foliations of degree 2 on  $\mathbb{P}_{\mathbb{C}}^2$  with at least two singularities.** — Any  $\mathcal{F} \in \mathbb{F}(2;2)$  is described in homogeneous coordinates by a 1-form  $\omega$  that can be written

$$\omega = q_1 yz \left( \frac{dy}{y} - \frac{dz}{z} \right) + q_2 xz \left( \frac{dz}{z} - \frac{dx}{x} \right) + q_3 xy \left( \frac{dx}{x} - \frac{dy}{y} \right) \quad (3.1)$$

where

$$\begin{aligned} q_1 &= a_0 x^2 + a_1 y^2 + a_2 z^2 + a_3 xy + a_4 xz + a_5 yz, \\ q_2 &= b_0 x^2 + b_1 y^2 + b_2 z^2 + b_3 xy + b_4 xz + b_5 yz, \\ q_3 &= c_0 x^2 + c_1 y^2 + c_2 z^2 + c_3 xy + c_4 xz + c_5 yz. \end{aligned}$$

**Proposition 3.1.** — *For any  $\mathcal{F} \in \mathbb{F}(2;2)$  with at least two distinct singularities there exists a quadratic birational map  $\psi \in \mathcal{O}(\rho)$  such that  $\deg \psi^* \mathcal{F} \leq 3$ .*

*Proof.* — In homogeneous coordinates  $\mathcal{F}$  is described by a 1-form  $\omega$  as in (3.1).

Up to an automorphism of  $\mathbb{P}_{\mathbb{C}}^2$  one can suppose that  $(1 : 0 : 0)$  and  $(0 : 1 : 0)$  are singular points of  $\mathcal{F}$ , that is  $a_1 = b_0 = c_0 = c_1 = 0$ . If  $c_3 \neq 0$ , resp.  $c_3 = 0$  and  $b_4 \neq 0$ , resp.  $c_3 = b_4 = 0$ , then let us consider the quadratic birational map  $\psi$  of  $\mathcal{O}(\rho)$  defined as follows

$$\psi: (x : y : z) \dashrightarrow \left( xy : z^2 + \frac{b_3 - c_4 + \sqrt{(b_3 - c_4)^2 + 4b_4 c_3}}{2c_3} yz : yz \right),$$

resp.

$$\psi: (x : y : z) \dashrightarrow \left( xy : z^2 + yz : -\frac{b_3 - c_4}{b_4} yz \right),$$

resp.  $\psi = \rho$ . A direct computation shows that  $\psi^* \omega = yz^2 \omega'$  where  $\omega'$  denotes a homogeneous 1-form of degree 4. The foliation  $\mathcal{F}'$  defined by  $\omega'$  has degree at most 3.  $\square$

**3.2. Foliations of degree 2 on  $\mathbb{P}_{\mathbb{C}}^2$  with exactly one singularity.** — Such foliations have been classified:

**Theorem 3.2 ([6]).** — *Up to automorphisms of  $\mathbb{P}_{\mathbb{C}}^2$  there are four foliations of degree 2 on  $\mathbb{P}_{\mathbb{C}}^2$  having exactly one singularity. They are described in affine chart by the following 1-forms:*

- $\Omega_1 = x^2 dx + y^2(x dy - y dx)$ ,
- $\Omega_2 = x^2 dx + (x + y^2)(x dy - y dx)$ ,
- $\Omega_3 = xy dx + (x^2 + y^2)(x dy - y dx)$ ,
- $\Omega_4 = (x + y^2 - x^2 y) dy + x(x + y^2) dx$ .

**Proposition 3.3.** — *There exists a quadratic birational map  $\psi_1 \in \mathcal{O}(\rho)$  such that  $\deg \psi_1^* \mathcal{F}_{\Omega_1} = 2$ ; furthermore  $\mathcal{F}_{\Omega_1}$  has a rational first integral and is non-primitive.*

*For  $k = 2, 3$ , there is no birational map  $\phi_k$  such that  $\deg \phi_k^* \mathcal{F}_{\Omega_k} = 0$  but there is  $\psi_k$  in  $\mathcal{O}(\tau)$  such that  $\deg \psi_k^* \mathcal{F}_{\Omega_k} = 1$ . In particular  $\mathcal{F}_{\Omega_2}$  and  $\mathcal{F}_{\Omega_3}$  are non-primitive.*

*There is a quadratic birational map  $\psi_4 \in \mathcal{O}(\tau)$  such that  $\deg \psi_4^* \mathcal{F}_{\Omega_4} = 3$ , and  $\mathcal{F}_{\Omega_4}$  is primitive.*

**Remark 3.4.** — *If  $\phi = (x^2 : xy : xz + y^2)$ , then  $\deg \phi^* \mathcal{F}_{\Omega_2} = \deg \phi^* \mathcal{F}_{\Omega_3} = 2$ . A contrario we will see later there is no quadratic birational map  $\phi$  such that  $\deg \phi^* \mathcal{F}_{\Omega_4} = 2$  (see Corollary 4.15).*

**Corollary 3.5.** — *For any element  $\mathcal{F}$  of  $\mathbb{F}(2;2)$  with exactly one singularity there exists a quadratic birational map  $\psi$  such that  $\deg \psi^* \mathcal{F} \leq 3$ .*

*Proof of Proposition 3.3.* — The foliation  $\mathcal{F}_{\Omega_1}$  is given in homogeneous coordinates by

$$\Omega'_1 = (x^2z - y^3) dx + xy^2 dy - x^3 dz;$$

if  $\psi_1 : (x : y : z) \dashrightarrow (x^2 : xy : yz)$  then

$$\psi_1^* \Omega'_1 \wedge (y(2xz - y^2) dx + x(y^2 - xz) dy - x^2 y dz) = 0.$$

The foliation  $\mathcal{F}_{\Omega_1}$  has a rational first integral and is non-primitive, it is the image of a foliation of degree 0 by a cubic birational map:

$$(x^3 : x^2y : x^2z + y^3/3)^* \Omega'_1 \wedge (z dx - x dz) = 0.$$

The foliation  $\mathcal{F}_{\Omega_2}$  is described in homogeneous coordinates by

$$\Omega'_2 = (x^2z - xyz - y^3) dx + x(xz + y^2) dy - x^3 dz;$$

let us consider the birational map  $\psi_2 : (x : y : z) \dashrightarrow (x^2 : xy : xz - 2x^2 - 2xy - y^2)$  then

$$\psi_2^* \Omega'_2 \wedge ((xz - yz) dx + xz dy - x^2 dz) = 0.$$

One can verify that

$$\left(2 + \frac{1}{x} + 2\frac{y}{x} + \frac{y^2}{x^2}\right) \exp\left(-\frac{y}{x}\right)$$

is a first integral of  $\mathcal{F}_{\Omega_2}$ ; it is easy to see that  $\mathcal{F}_{\Omega_2}$  has no rational first integral so there is no birational map  $\varphi_2$  such that  $\deg \varphi_2^* \mathcal{F}_{\Omega_2} = 0$ .

The foliation  $\mathcal{F}_{\Omega_3}$  is given in homogeneous coordinates by the 1-form

$$\Omega'_3 = y(xz - x^2 - y^2) dx + x(x^2 + y^2) dy - x^2 y dz;$$

if  $\psi_3 : (x : y : z) \dashrightarrow (x^2 : xy : xz + y^2/2)$  then

$$\psi_3^* \Omega'_3 \wedge (y(z - x) dx + x^2 dy - xy dz) = 0.$$

The function

$$\left(\frac{y}{x}\right) \exp\left(\frac{1}{2} \frac{y^2}{x^2} - \frac{1}{x}\right)$$

is a first integral of  $\mathcal{F}_{\Omega_3}$ , and  $\mathcal{F}_{\Omega_3}$  has no rational first integral so there is no birational map  $\varphi_3$  such that  $\deg \varphi_3^* \mathcal{F}_{\Omega_3} = 0$ .

Let us consider the birational map of  $\mathbb{P}_{\mathbb{C}}^2$  given by

$$\Psi_4 : (x : y : z) \dashrightarrow (-x^2 : xy : y^2 - xz)$$

In homogeneous coordinates

$$\Omega'_4 = x(xz + y^2) dx + (xz^2 + y^2z - x^2y) dy + (xyz - y^3 - x^3) dz;$$

a direct computation shows that  $\psi_4^* \Omega'_4 \wedge \eta = 0$  where

$$\begin{aligned} \eta = & (3y^3z - x^2y^2 + x^3z - 2xyz^2) dx + (x^3y - 4y^4 - x^2z^2 + 3xy^2z) dy \\ & + x(2y^3 - x^3 - xyz) dz. \end{aligned}$$

The foliation  $\mathcal{F}_{\Omega_4}$  has no invariant algebraic curve so  $\mathcal{F}_{\Omega_4}$  is not transversely projective ([6, Proposition 1.3]). In fact a foliation of degree 2 without invariant algebraic curve is primitive (*see* Introduction); as a consequence  $\mathcal{F}_{\Omega_4}$  is a primitive foliation.  $\square$

#### 4. Numerical invariance

In the sequel num. inv. means numerically invariant.

In this section we determine the foliations  $\mathcal{F}$  of  $\mathbb{F}(2;2)$  num. inv. under the action of  $\sigma$  (resp.  $\rho$ , resp.  $\tau$ ). Note that if  $\phi$  is a birational map of  $\mathbb{P}_{\mathbb{C}}^2$  and  $\ell$  an element of  $\text{Aut}(\mathbb{P}_{\mathbb{C}}^2)$  then  $\deg(\phi\ell)^*\mathcal{F} = \deg\phi^*\mathcal{F}$ ; hence following Theorem 2.1 we get the description of foliations num. inv. under the action of a quadratic birational map of  $\mathbb{P}_{\mathbb{C}}^2$  by giving normal forms.

Recall that  $\sigma$  is given in a fixed system of homogeneous coordinates  $(x : y : z)$  by

$$\sigma: (x : y : z) \dashrightarrow (yz : xz : xy),$$

and remark that  $\sigma$  is invariant under conjugacy by elements of the group  $\mathfrak{S}_3$  of standard permutations of coordinates.

**Lemma 4.1.** — *An element  $\mathcal{F}$  of  $\mathbb{F}(2;2)$  is num. inv. under the action of  $\sigma$  if and only if it is given up to permutations of coordinates and standard affine charts by 1-forms of the following type*

- either  $\omega_1 = y(\kappa + \varepsilon y) dx + (\beta x + \delta y + \alpha x^2 + \gamma xy) dy$ ,
- or  $\omega_2 = (\delta + \beta y + \kappa y^2) dx + (\alpha + \varepsilon x + \gamma x^2) dy$ ,

where  $\alpha, \beta, \gamma, \delta, \varepsilon, \kappa$  (resp.  $\alpha, \beta, \gamma, \delta, \varepsilon, \kappa$ ) are complex numbers such that  $\deg \mathcal{F}_{\omega_1} = 2$  (resp.  $\deg \mathcal{F}_{\omega_2} = 2$ ).

*Proof.* — The foliation  $\mathcal{F}$  is defined by a homogeneous 1-form  $\omega$  of degree 3. The map  $\sigma$  is an automorphism of  $\mathbb{P}_{\mathbb{C}}^2 \setminus \{xyz = 0\}$ ; hence if  $\sigma^*\omega = P\omega'$ , with  $\omega'$  a 1-form of degree 3 and  $P$  a homogeneous polynomial, then  $P = x^i y^j z^k$  for some integers  $i, j, k$  such that  $i + j + k = 4$ . Up to permutation of coordinates it is sufficient to look at the four following cases:  $P = x^4$ ,  $P = x^3 y$ ,  $P = x^2 y^2$  and  $P = x^2 y z$ . Let us write  $\omega$  as in (3.1). Computations show that  $x^4$  (resp.  $x^3 y$ ) cannot divide  $\sigma^*\omega$ . If  $P = x^2 y z$ , then  $\sigma^*\omega = P\omega'$  if and only if

$$c_0 = b_0 = a_2 = b_2 = a_1 = c_1 = b_4 = c_3 = 0, \quad b_3 = c_4$$

that gives  $\omega_1$ . Finally one has  $\sigma^*\omega = x^2 y^2 \omega'$  if and only if

$$c_1 = c_0 = b_0 = a_1 = b_4 = c_3 = a_5 = 0, \quad b_3 = c_4, \quad c_5 = a_3;$$

in that case we obtain  $\omega_2$ . □

**Proposition 4.2.** — *A foliation  $\mathcal{F} \in \mathbb{F}(2;2)$  num. inv. under the action of an element of  $\mathcal{O}(\sigma)$  is  $\text{Aut}(\mathbb{P}_{\mathbb{C}}^2)$ -conjugate either to a foliation of type  $\mathcal{F}_{\omega_1}$ , or to a foliation of type  $\mathcal{F}_{\omega_2}$ . In particular it is transversely projective.*

*Proof.* — Let  $\phi$  be an element of  $\mathcal{O}(\sigma)$  such that  $\deg\phi^*\mathcal{F} = 2$ ; the map  $\phi$  can be written  $\ell_1\sigma\ell_2$  where  $\ell_1$  and  $\ell_2$  denote automorphisms of  $\mathbb{P}_{\mathbb{C}}^2$ . By assumption the degree of  $(\ell_1\sigma\ell_2)^*\mathcal{F} = \ell_2^*(\sigma^*(\ell_1^*\mathcal{F}))$  is 2. Hence  $\deg\sigma^*(\ell_1^*\mathcal{F}) = 2$  and the foliation  $\ell_1^*\mathcal{F}$  is num. inv. under the action of  $\sigma$ . Since  $\ell_1^*\mathcal{F}$  and  $\mathcal{F}$  are conjugate and since the notion of transversal projectivity is invariant by conjugacy it is sufficient to establish the statement for  $\phi = \sigma$ . The proposition thus follows from the fact that 1-forms of Lemma 4.1 are Riccati ones (up to multiplication). □

**Remark 4.3.** — For generic values of parameters  $\alpha, \beta, \gamma, \delta, \varepsilon, \kappa$  a foliation of type  $\mathcal{F}_{\omega_1}$  given by the corresponding form  $\omega_1$  is not given by a closed meromorphic 1-form. This can be seen by studying the holonomy group of  $\mathcal{F}_{\omega_1}$  that can be identified with a subgroup of  $\text{PGL}(2; \mathbb{C})$  generated by two elements  $f$  and  $g$ . For generic values of the parameters  $f$  and  $g$  are also generic, in particular the group  $\langle f, g \rangle$  is free. When  $\mathcal{F}_{\omega_1}$  is given by a closed 1-form, then the holonomy group is an abelian one.

Remark that a contrario the foliations given by 1-forms of type  $\omega_2$  are given by a closed meromorphic 1-form.



**Remark 4.4.** — Let  $\Delta_i$  denote the closure of the set of elements of  $\mathbb{F}(2;2)$  conjugate to a foliation of type  $\mathcal{F}_{\omega_i}$ .

In the affine chart  $x = 1$  an element of type  $\mathcal{F}_{\omega_1}$  is radial at  $(0,0)$  as soon as  $\alpha \neq 0$ . Since  $\Delta_R$  is closed, the inclusion  $\Delta_1 \subset \Delta_R$  holds.

If the components of  $\omega_2$  are not constant, then an element of type  $\mathcal{F}_{\omega_2}$  has a singular point in  $\mathbb{C}^2$ , and up to an ad-hoc translation  $\mathcal{F}_{\omega_2}$  is an element of type  $\mathcal{F}_{\omega_1}$ . As  $\Delta_1$  is closed, one has  $\Delta_2 \subset \Delta_1$ .

**Remark 4.5.** — The notion of num. inv. is not related to the dynamic of the map (see [3] for example): the foliations num. inv. by the involution  $\sigma$  ("without dynamic") are conjugate to the foliations num. inv. by  $A\sigma$ ,  $A \in \text{Aut}(\mathbb{P}_{\mathbb{C}}^2)$ , which has a rich dynamic for generic  $A$ .

The foliations of  $\mathbb{F}(2;2)$  invariant by  $\sigma$  are particular cases of num. inv. foliations:

**Proposition 4.6.** — An element of  $\mathbb{F}(2;2)$  invariant by  $\sigma$  is given up to permutations of coordinates and affine charts

- either by  $y(1+y)dx + (\beta x + \alpha y + \alpha x^2 + \beta xy)dy$ ,
- or by  $y(1-y)dx + (\beta x - \alpha y + \alpha x^2 - \beta xy)dy$ ,
- or by  $ydx + (\alpha + \varepsilon x + \alpha x^2)dy$ ,

where the parameters are complex numbers such that the degree of the associated foliations is 2.

*Proof.* — With the notations of Lemma 4.1 one has

$$\sigma^* \omega_1 = -y(\varepsilon + \kappa y)dx - (\gamma x + \alpha y + \delta x^2 + \beta xy)dy;$$

thus  $\sigma^* \omega_1 \wedge \omega_1 = 0$  if and only if either  $\gamma = \beta$ ,  $\delta = \alpha$ ,  $\varepsilon = \kappa$ , or  $\gamma = -\beta$ ,  $\delta = -\alpha$ ,  $\varepsilon = -\kappa$ .

One has  $\sigma^* \omega_2 = -(\kappa + \beta y + \delta y^2)dx - (\gamma + \varepsilon x + \alpha x^2)dy$ , and  $\omega_2 \wedge \sigma^* \omega_2 = 0$  if and only if  $\gamma = \alpha$ ,  $\delta = 0$  and  $\kappa = 0$ .  $\square$

**Remark 4.7.** — The foliations associated to the two first 1-forms with parameters  $\alpha, \beta$  of Proposition 4.6 are conjugate by the automorphism  $(x, y) \mapsto (x, -y)$ .

**Lemma 4.8.** — A foliation  $\mathcal{F} \in \mathbb{F}(2;2)$  is num. inv. under the action of  $\rho$  if and only if  $\mathcal{F}$  is given in affine chart

- either by  $\omega_3 = y(\kappa + \varepsilon y + \lambda y^2)dx + (\beta + \kappa x + \delta y + \gamma xy + \alpha y^2 - \lambda xy^2)dy$ ,
- or by  $\omega_4 = y(\mu + \delta x + \gamma y + \varepsilon xy)dx + (\alpha + \beta x + \lambda y + \delta x^2 + \kappa xy - \varepsilon x^2 y)dy$ ,
- or by  $\omega_5 = (\lambda + \gamma y + \kappa xy + \varepsilon y^2)dx + (\beta + \delta x + \alpha x^2)dy$ ,

where the parameters of  $\omega_i$  are such that  $\deg \mathcal{F}_{\omega_i} = 2$ .

*Proof.* — Let us take the notations of the proof of Lemma 4.1. The map  $\rho$  is an automorphism of  $\mathbb{P}_{\mathbb{C}}^2 \setminus \{yz = 0\}$ ; therefore if  $\rho^* \omega = P\omega'$  with  $\omega'$  a 1-form of degree 3 and  $P$  a homogeneous polynomial then  $P = y^j z^k$  for some integers  $j, k$  such that  $j + k = 4$ . We have to look at the five following cases:  $P = z^4$ ,  $P = yz^3$ ,  $P = y^2 z^2$ ,  $P = y^3 z$  and  $P = y^4$ . Computations show that  $y^4$  (resp.  $y^3 z$ ) cannot divide  $\rho^* \omega$ . If  $P = z^4$  then  $\rho^* \omega = P\omega'$  if and only if

$$c_0 = b_0 = c_3 = b_4 = b_2 = 0, \quad a_0 = c_4, \quad b_3 = c_4, \quad a_4 = 2c_2 - b_5;$$

this gives the first case  $\omega_3$ . The equality  $\rho^* \omega = yz^3 \omega'$  holds if and only if

$$b_0 = c_0 = b_4 = c_1 = a_1 = b_2 = 0, \quad a_0 = 2c_4 - b_3$$

and we obtain  $\omega_4$ . Finally one has  $\rho^* \omega = y^2 z^2 \omega'$  if and only if

$$c_1 = b_0 = c_3 = a_5 = a_1 = c_0 = b_4 = 0, \quad c_5 = a_3$$

which corresponds to  $\omega_5$ . □

**Proposition 4.9.** — *The foliations of type  $\mathcal{F}_{\omega_3}$  and  $\mathcal{F}_{\omega_5}$  are transversely projective. In fact the  $\mathcal{F}_{\omega_3}$  are transversely affine, and the  $\mathcal{F}_{\omega_5}$  are Riccati ones.*

*Proof.* — A foliation of type  $\mathcal{F}_{\omega_3}$  is described by the 1-form

$$\theta_0 = dx - \frac{(\beta + \delta y + \alpha y^2) + (\kappa + \gamma y - \lambda y^2)x}{y(\kappa + \epsilon y + \lambda y^2)} dy$$

and it is transversely affine; to see it consider the  $\mathfrak{sl}(2; \mathbb{C})$ -triplet

$$\theta_0, \quad \theta_1 = \frac{\kappa + \gamma y - \lambda y^2}{y(\kappa + \epsilon y + \lambda y^2)} dy, \quad \theta_2 = 0.$$

A foliation of type  $\mathcal{F}_{\omega_5}$  is given by

$$dy + \frac{\lambda + (\gamma + \kappa)y + \epsilon y^2}{\beta + \delta x + \alpha x^2} dx$$

and thus is a Riccati foliation. In fact the fibration  $x/z = \text{constant}$  is transverse to  $\mathcal{F}_{\omega_5}$  that generically has three invariant lines. □

We don't know if the  $\mathcal{F}_{\omega_4}$  are transversely projective. For generic values of the parameters a foliation of type  $\mathcal{F}_{\omega_4}$  hasn't meromorphic uniform first integral in the affine chart  $y = 1$ . Thus if  $\mathcal{F}_{\omega_4}$  is transversely projective then it must have an invariant algebraic curve different from  $y = 0$  (see [7]). We don't know if it is the case. A foliation of degree 2 is conjugate to a generic  $\mathcal{F}_{\omega_4}$  (by an automorphism of  $\mathbb{P}_{\mathbb{C}}^2$ ) if and only if it has an invariant line (say  $y = 0$ ) with a singular point (say 0) and local model  $2xdy - ydx$ . The closure of the set of such foliations has codimension 2. Note that the three families  $\mathcal{F}_{\omega_3}$ ,  $\mathcal{F}_{\omega_4}$  and  $\mathcal{F}_{\omega_5}$  have non trivial intersection. The set  $\{\mathcal{F}_{\omega_4}\}$  contains many interesting elements such that the famous Euler foliation given by  $y^2 dx + (y - x) dy$ ; this foliation is transversely affine but is not given by a closed rational 1-form.

**Proposition 4.10.** — *A foliation  $\mathcal{F} \in \mathbb{F}(2; 2)$  num. inv. under the action of an element of  $\mathcal{O}(\rho)$  is conjugate to a foliation either of type  $\mathcal{F}_{\omega_3}$ , or of type  $\mathcal{F}_{\omega_4}$ , or of type  $\mathcal{F}_{\omega_5}$ .*

Let us look at special num. inv. foliations, those invariant by  $\rho$ .

**Proposition 4.11.** — *An element of  $\mathbb{F}(2; 2)$  invariant by  $\rho$  is given by a 1-form of one of the following type*

- $y(1 - y) dx + (\beta + x) dy$ ,
- $y^2 dx + (-1 + y) dy$ ,
- $y(1 - y)(\gamma + \delta x) dx + (1 + y)(\alpha + \beta x + \delta x^2) dy$ ,
- $y(1 + y)(\gamma + \delta x) dx + (1 - y)(\alpha + \beta x + \delta x^2) dy$ ,
- $(1 - y^2) dx + (\beta + \delta x + \alpha x^2) dy$ ,

where the parameters are complex numbers such that the degree of the associated foliations is 2.

**Corollary 4.12.** — *An element of  $\mathbb{F}(2; 2)$  invariant by  $\rho$  is defined by a closed 1-form.*

**Remark 4.13.** — The third and fourth cases with parameters  $\alpha, \beta, \gamma, \delta$  are conjugate by the automorphism  $(x, y) \mapsto (x, -y)$ .

From Lemmas 4.1 and 4.8 one gets the following statement.

**Proposition 4.14.** — A foliation num. inv. by an element of  $\mathcal{O}(\phi)$ , with  $\phi = \sigma, \rho$ , preserves an algebraic curve.

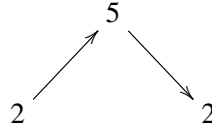
**Corollary 4.15.** — There is no quadratic birational map  $\phi$  of the complex projective plane such that  $\deg \phi^* \mathcal{F}_{\Omega_4} = 2$ .

*Proof.* — The foliation  $\mathcal{F}_{\Omega_4}$  has no invariant algebraic curve ([6, Proposition 1.3]); according to Proposition 4.14 it is thus sufficient to show that there is no birational map  $\phi \in \mathcal{O}(\tau)$  such that  $\deg \phi^* \mathcal{F}_{\Omega_4} = 2$  that can be established with a direct and tedious computation.  $\square$

**Remark 4.16.** — The map  $\rho$  can be written  $\ell_1 \sigma \ell_2 \sigma \ell_3$  with

$$\ell_1 = (z - y : y - x : y), \quad \ell_2 = (y + z : z : x), \quad \ell_3 = (x + z : y - z : z).$$

We are interested by the "intermediate" degrees of a numerically invariant foliation  $\mathcal{F}$ , that is the sequence  $\deg \mathcal{F}, \deg(\ell_1 \sigma)^* \mathcal{F}, \deg(\ell_1 \sigma \ell_2 \sigma \ell_3)^* \mathcal{F} = \deg \mathcal{F}$ . A tedious computation shows that for generic values of the parameters the sequence is 2, 5, 2. We schematize this fact by the diagram



A similar argument to Lemma 4.1 yields to the following result.

**Lemma 4.17.** — An element  $\mathcal{F}$  of  $\mathbb{F}(2;2)$  is num. inv. under the action of  $\tau$  if and only if  $\mathcal{F}$  is given in affine chart by a 1-form of type

$$\begin{aligned} \omega_6 = & (-\delta x + \alpha y - \varepsilon x^2 + \theta xy + \beta y^2 + \kappa x^2 y + \mu xy^2 + \lambda y^3) dx \\ & + (-3\alpha x + \xi x^2 + 2(\delta - \beta)xy + \alpha y^2 - \kappa x^3 - \mu x^2 y - \lambda xy^2) dy \end{aligned}$$

where the parameters are such that  $\deg \mathcal{F}_{\omega_6} = 2$ .

We don't know the qualitative description of foliations of type  $\mathcal{F}_{\omega_6}$ . For example we don't know if the  $\mathcal{F}_{\omega_6}$  are transversely projective. If it is the case, this implies the existence of invariant algebraic curves, and that fact is unknown.

**Proposition 4.18.** — A foliation  $\mathcal{F} \in \mathbb{F}(2;2)$  num. inv. under the action of an element of  $\mathcal{O}(\tau)$  is conjugate to  $\mathcal{F}_{\omega_6}$  for suitable values of the parameters.

Let us describe some special num. inv. foliations under the action of  $\tau$ , those invariant by  $\tau$ .

**Proposition 4.19.** — An element of  $\mathbb{F}(2;2)$  invariant by  $\tau$  is given

- either by

$$(-\varepsilon x^2 + \theta xy + \beta y^2 + \varepsilon xy^2 - (\frac{\xi}{2} + \theta)y^3) dx + x(\xi x - 2\beta y - \varepsilon xy + (\frac{\xi}{2} + \theta)y^2) dy,$$

- or by

$$(-\delta x + \alpha y + \frac{3}{2}\delta y^2 + \kappa x^2 y + \mu xy^2 + \lambda y^3) dx - (3\alpha x + \delta xy - \alpha y^2 + \kappa x^3 + \mu x^2 y + \lambda xy^2) dy,$$

where the parameters are complex numbers such that the degree of the associated foliations is 2.

The foliations associated to the first 1-form are transversely affine.

*Proof.* — The 1-jet at the origin of the 1-form

$$\omega = (-\varepsilon x^2 + \theta xy + \beta y^2 + \varepsilon xy^2 - (\frac{\xi}{2} + \theta)y^3) dx + x(\xi x - 2\beta y - \varepsilon xy + (\frac{\xi}{2} + \theta)y^2) dy$$

is zero so after one blow-up  $\mathcal{F}_\omega$  is transverse to the generic fiber of the Hopf fibration; furthermore as the exceptional divisor is invariant,  $\mathcal{F}_\omega$  is transversely affine.  $\square$

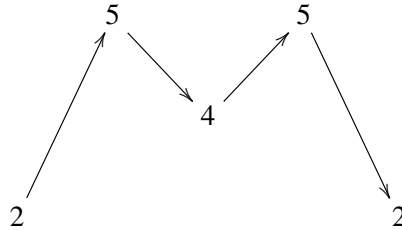
**Remark 4.20.** — The map  $\tau$  can be written  $\ell_1\sigma\ell_2\sigma\ell_3\sigma\ell_2\sigma\ell_4$  with

$$\begin{aligned} \ell_1 &= (x - y : x - 2y : -x + y - z), & \ell_2 &= (x + z : x : y), \\ \ell_3 &= (-y : x - 3y + z : x), & \ell_4 &= (y - x : z - 2x : 2x - y). \end{aligned}$$

Let us consider a foliation  $\mathcal{F}$  num. inv. under the action of  $\tau$ ; set  $\mathcal{F}' = \ell_1^*\mathcal{F}$ . We compute the intermediate degrees:

$$\deg \sigma^* \mathcal{F}' = 5, \quad \deg(\sigma\ell_2\sigma)^* \mathcal{F}' = 4, \quad \deg(\sigma\ell_3\sigma\ell_2\sigma)^* \mathcal{F}' = 5.$$

To summarize:



## 5. Higher degree

We will now focus on similar questions but with cubic birational maps of  $\mathbb{P}_{\mathbb{C}}^2$  and elements of  $\mathbb{F}(2;2)$ . The generic model of such birational maps is:

$$\Phi_{a,b}: (x : y : z) \dashrightarrow (x(x^2 + y^2 + axy + bxz + yz) : y(x^2 + y^2 + axy + bxz + yz) : xyz)$$

with  $a, b \in \mathbb{C}$ ,  $a^2 \neq 4$  and  $2b \notin \{a \pm \sqrt{a^2 - 4}\}$ .

**Lemma 5.1.** — An element  $\mathcal{F}$  of  $\mathbb{F}(2;2)$  is num. inv. under the action of  $\Phi_{a,b}$  if and only if  $\mathcal{F}$  is given in affine chart

- either by  $\omega_7 = y(\alpha + \gamma y) dx - x(\alpha + \kappa x) dy$ ,
- or by

$$\omega_8 = b(b^2 - ab + 1 + (a - 2b)y + y^2) dx + ((b^2 - ab + 1) + (ab - 2)x + x^2) dy,$$

where the parameters are such that  $\deg \mathcal{F}_{\omega_7} = \deg \mathcal{F}_{\omega_8} = 2$ .

**Remark 5.2.** — Remark that the foliations  $\mathcal{F}_{\omega_7}$  do not depend on the parameters of  $\Phi_{a,b}$ , that is, the  $\mathcal{F}_{\omega_7}$  are num. inv. by all  $\Phi_{a,b}$ , whereas the  $\mathcal{F}_{\omega_8}$  only depend on  $a$  and  $b$ .

Furthermore  $\mathcal{F}_{\omega_7}$  is num. inv. by  $\sigma$  and  $\rho$ .

**Proposition 5.3.** — Any  $\mathcal{F} \in \mathbb{F}(2;2)$  num. inv. under the action of  $\Phi_{a,b}$ , and more generally any  $\mathcal{F} \in \mathbb{F}(2;2)$  num. inv. under the action of a generic cubic birational map of  $\mathbb{P}_{\mathbb{C}}^2$ , satisfies the following properties:

- $\mathcal{F}$  is given by a rational closed 1-form;
- $\mathcal{F}$  is non-primitive.

*Proof.* — Let us establish the properties for  $\mathcal{F}_{\omega_7}$ ; remark that  $\mathcal{F}_{\omega_7}$  is given by

$$\frac{dx}{x(\alpha + \kappa x)} - \frac{dy}{y(\alpha + \gamma y)}$$

which is a closed rational 1-form (remark that  $(|\alpha| + |\kappa|)(|\alpha| + |\gamma|) \neq 0$  since  $\deg \mathcal{F}_{\omega_7} = 2$ ). The foliation  $\mathcal{F}_{\omega_7}$  is non-primitive: indeed one has

$$\sigma^* \omega_7 = \frac{1}{x^2 y^2} \left( (\alpha x + \kappa) dx - (\alpha y + \gamma) dy \right)$$

that defines a foliation of degree 0.

The idea and result are the same for the foliations  $\mathcal{F}_{\omega_8}$  (except that it gives a birational map  $\phi$  such that  $\deg \phi^* \mathcal{F}_{\omega_8} = 1$ ).  $\square$

Let us consider an element  $\mathcal{F}$  of  $\mathbb{F}(2; 2)$  num. inv. under the action of a birational map of degree  $\geq 3$ ; is  $\mathcal{F}$  defined by a closed 1-form ?

**Remark 5.4.** — The foliations  $\mathcal{F}_{\omega_7}$  are contained in the orbit of the foliation  $\mathcal{F}_{\eta'}$ .

**Remark 5.5.** — Any map  $\Phi_{a,b}$  can be written  $\ell_1 \sigma \ell_2 \sigma \ell_3$  with

$$\ell_2 = (a_0 y + a_1 z : a_2 y + a_3 z : a_4 x + a_5 y + a_6 z)$$

(see [5, proof of Proposition 6.36]). Let us consider the birational map  $\xi = \sigma \ell_2 \sigma$  with

$$\ell_2 = (a_0 y + a_1 z : a_2 y + a_3 z : a_4 x + a_5 y + a_6 z) \in \text{Aut}(\mathbb{P}_{\mathbb{C}}^2).$$

As in Lemma 5.1 there are two families of foliations  $\mathcal{F}_1, \mathcal{F}_2$  of degree 2, one that does not depend on the parameters of  $\xi$  and the other one depending only on the parameters of  $\xi$ , such that  $\xi^* \mathcal{F}_1$  and  $\xi^* \mathcal{F}_2$  are of degree 2. One question is the following: what is the intermediate degree ? A computation shows that for generic parameters  $\deg \sigma^* \mathcal{F}_1 = 4$  and that  $\deg \sigma^* \mathcal{F}_2 = 2$ . This implies in particular that  $\mathcal{F}_{\omega_8}$  is num. inv. under the action of  $\sigma$ . For  $\mathcal{F}_1$  and  $\mathcal{F}_{\omega_7}$  one has

$$\begin{array}{ccc} & 4 & \\ \nearrow & & \searrow \\ 2 & & 2 \end{array}$$

and for  $\mathcal{F}_2$  and  $\mathcal{F}_{\omega_8}$

$$2 \longrightarrow 2 \longrightarrow 2$$

Let us now consider the "most degenerate" cubic birational map

$$\Psi: (x : y : z) \dashrightarrow (xz^2 + y^3 : yz^2 : z^3).$$

**Lemma 5.6.** — An element  $\mathcal{F}$  of  $\mathbb{F}(2; 2)$  is num. inv. under the action of  $\Psi$  if and only if  $\mathcal{F}$  is given in affine chart by

$$\omega_9 = (-\alpha + \beta y + \gamma y^2) dx + (\varepsilon - 3\beta x + \kappa y - 3\gamma xy + \lambda y^2) dy$$

where the parameters are such that  $\deg \mathcal{F}_{\omega_9} = 2$ . In particular  $\mathcal{F}$  is transversely affine.

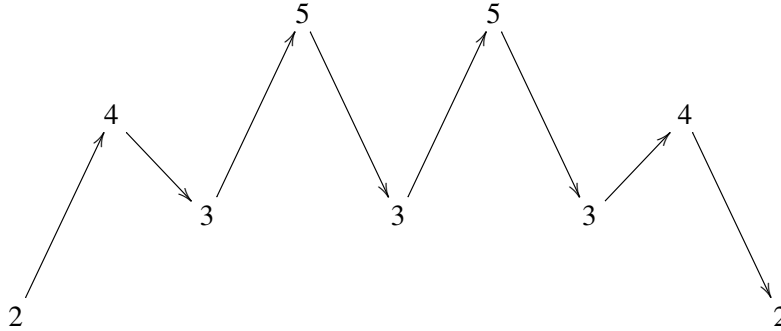
**Remark 5.7.** — The map  $\psi$  can be written  $\ell_1\sigma\ell_2\sigma\ell_3\sigma\ell_4\sigma\ell_5\sigma\ell_4\sigma\ell_6\sigma\ell_2\sigma\ell_7$  with

$$\begin{aligned} \ell_1 &= (z - y : y : y - x), & \ell_2 &= (y + z : z : x), & \ell_3 &= (-z : -y : x - y), \\ \ell_4 &= (x + z : x : y), & \ell_5 &= (-y : x - 3y + z : x), & \ell_6 &= (-x : -y - z : x + y), \\ \ell_7 &= (x + y : z - y : y). \end{aligned}$$

As previously we consider the problem of the intermediate degrees; if  $\mathcal{F}' = \ell_1^* \mathcal{F}$ , a computation shows that for generic parameters

$$\begin{aligned} \deg \sigma^* \mathcal{F}' &= 4, & \deg(\sigma\ell_2\sigma)^* \mathcal{F}' &= 3, & \deg(\sigma\ell_2\sigma\ell_3\sigma)^* \mathcal{F}' &= 5, \\ \deg(\sigma\ell_2\sigma\ell_3\sigma\ell_4\sigma)^* \mathcal{F}' &= 3, & \deg(\sigma\ell_2\sigma\ell_3\sigma\ell_4\sigma\ell_5\sigma)^* \mathcal{F}' &= 5, \\ \deg(\sigma\ell_2\sigma\ell_3\sigma\ell_4\sigma\ell_5\sigma\ell_4\sigma)^* \mathcal{F}' &= 3, & \deg(\sigma\ell_2\sigma\ell_3\sigma\ell_4\sigma\ell_5\sigma\ell_4\sigma\ell_6\sigma)^* \mathcal{F}' &= 4, \end{aligned}$$

that is



We have not studied the quadratic foliations numerically invariant by (any) cubic birational transformation. It is reasonable to think that such foliations are transversely projective.

### References

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